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Ilidio P. Cardoso

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Sir:

Transmitted herewith for filing is the continuation in part patent application of

Inventor(s): Stuart B. Levy, Mark L. Nelson

For: PHARMACEUTICALLY ACTIVE COMPOUNDS AND METHODS OF USE THEREOF

Enclosed are:

- ☐ This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR 1.53(b), of pending prior application serial no. 60/072,262 filed on January 23, 1998 entitled Pharmaceutically Active Compounds and Methods of Use Thereof.
- ☒ 40 pages of specification, 9 pages of claims, 1 pages of abstract.
- ☒ A Declaration, Petition and Power of Attorney (unsigned, 6 pp.).
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.
- ☒ Other Postpaid return postcard

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE	////////////////////	
TOTAL CLAIMS	- 20	= 0
INDEP. CLAIMS	- 3	= 0
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference in Col. 2 is less than zero,  
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RATE	FEE
////////	\$ 380
x 9=	\$ 0
x 39	\$ 0
+130	\$ 130
TOTAL	\$549.00

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x 78	\$
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JC408 U.S. PTO

JC530 U.S. PTO  
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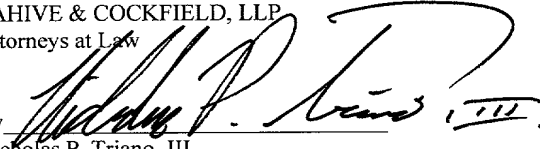
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- ☐ Any patent application processing fees under 37 C.F.R. 1.17.
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- ☐ A check in the amount of \$\_\_\_\_\_ to cover the recording of assignment documents is also enclosed.
- ☒ Address all future communications (May only be completed by applicant, or attorney or agent of record) to Elizabeth A. Hanley, Esq. at **Customer Number: 000959** whose address is:

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Date: January 22, 1999

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**APPLICATION**  
**FOR**  
**UNITED STATES LETTERS PATENT**

**TITLE: PHARMACEUTICALLY ACTIVE COMPOUNDS AND  
METHODS OF USE THEREOF**

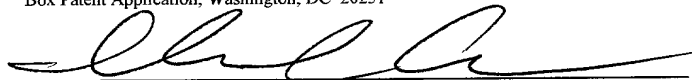
**APPLICANTS: Stuart B. Levy, Mark L. Nelson**

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# PHARMACEUTICALLY ACTIVE COMPOUNDS AND METHODS OF USE THEREOF

## Related Applications

5           This application claims the benefit of priority under 35 U.S.C. 119(e) to  
           copending U.S. Provisional Application No. 60/072,262, filed on January 23, 1998, the  
           entire contents of which are incorporated herein by reference.

The development of the tetracycline antibiotics was the direct result of a systematic screening of soil specimens collected from many parts of the world for evidence of microorganisms capable of producing bacteriocidal and/or bacteriostatic compositions. The first of these novel compounds was introduced in 1948 under the name chlortetracycline. Two years later oxytetracycline became available. The detailed elucidation of the chemical structure of these agents confirmed their similarity and furnished the analytical bases for the production of a third member of this group in 1952, tetracycline. By 1957, a new family of tetracycline compositions characterized chemically by the absence of the ring-attached CH<sub>3</sub> group present in the earlier compositions was prepared and became publicly available in 1967; and minocycline was in use by 1972. For clarity, for general ease of understanding, and for comparison purposes, these individual tetracycline-type agents are structurally compared within Table 1 below, with reference being made in that table to the following structural formula:

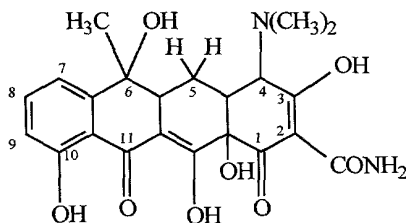


Table 1

Congener	Substituent(s)	At Carbon Position Nos.
Chlortetracycline	-Cl	(7)
Oxytetracycline	-OH,-H	(5)
Demeclocycline	-OH,-H;-Cl	(6;7)
Methacycline	-OH,-H;=CH <sub>2</sub>	(5;6)
Doxycycline	-OH,-H;-CH <sub>3</sub> ,-H	(5;6)
Minocycline	-H,-H;-N(CH <sub>3</sub> ) <sub>2</sub>	(6;7)

The terms "tetracycline" or "tetracycline-type" compound include tetracycline and other tetracycline family members such as the above chlortetracycline, oxytetracycline, demeclocycline, methacycline, doxycycline, minocycline, etc. as well as other tetracycline compounds having the above general fused ring structure whether now known or subsequently discovered or developed. Additionally, numbered tetracycline ring positions as referred to herein are the same as designated in the above structural formula.

More recent research efforts have focused on developing new tetracycline antibiotic compositions effective under varying therapeutic conditions and routes of administration; and for developing new tetracycline analogues which might prove to be equal or more effective than the originally introduced tetracycline families beginning in 1948. Representative of such developments include U.S. Patent Nos. 3,957,980; 3,674,859; 2,980,584; 2,990,331; 3,062,717; 3,557,280; 4,018,889; 4,024,272; 4,126,680; 3,454,697; and 3,165,531. It will be understood that these issued patents are merely representative of the range of diversity of investigations seeking tetracycline and tetracycline analogue compositions which are pharmacologically active.

Historically, soon after their initial development and introduction, the tetracyclines regardless of specific formulation or chemical structure were found to be highly effective pharmacologically against rickettsiae; a number of gram-positive and gram-negative bacteria; and the agents responsible for lymphogranuloma venereum, inclusion conjunctivitis, and psittacosis. Hence, tetracyclines became known as "broad spectrum" antibiotics. With the subsequent establishment of their *in vitro* antimicrobial activity, effectiveness in experimental infections, and pharmacological properties, the tetracyclines as a class rapidly became widely used for therapeutic purposes. However, this widespread use of tetracyclines for both major and minor illnesses and diseases led directly to the emergence of resistance to these antibiotics even among highly susceptible bacterial species both commensal and pathogenic - as for example pneumococci and *Salmonella*. The rise of tetracycline-resistant organisms has resulted

in a general decline in use of tetracyclines and tetracycline analogue compositions as antibiotics of choice.

Tetracycline resistance is often regulated - that is, inducible by tetracycline. Investigations of active tetracycline efflux systems and the details of the active efflux mechanism of action have been well documented and include the following publications, each of which is expressly incorporated by reference herein: Chopra, et al., *J. Antimicrobiol. Chemotherapy* 8:5-21 (1981); Levy and McMurry, *Biochem. Biophys. Res. Comm.* 56:1060-1068 (1974); Levy and McMurry, *Nature*, 275:90-92 (1978); McMurry and Levy, *Antimicrobial Agents and Chemotherapy*, 114:201-209 (1978); McMurry et al., *Proc. Nat. Acad. Sci. U.S.A.* 77:3974-3977 (1980); Ball, et al., *Biochem. Biophys. Res. Comm.* 93:74-81 (1980); Curiale and Levy, *J. Bact.*, 151:209-2115 (1982); Mendez, et al., *Plasmid*, 3:99-108 (1980); Curiale, et al., *J. Bact.*, 157:211-217 (1984); and Levy, S.B., *Journal of Antimicrobial Chemotherapy*, 24:1-3 (1989).

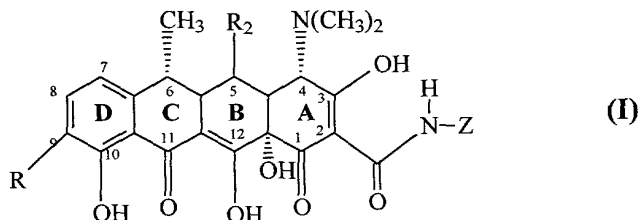
In addition, a second mechanism of tetracycline resistance for cells is known and in effect. This resistance mechanism involves a cytoplasmic protein which protects the intracellular ribosomes from the inhibitory action of tetracyclines. This form of tetracycline resistance is described within Burdett, V., *J. Bact.*, 165:564-569 (1986); and Levy, S.B., *J. Antimicrob. Chem.*, 24:1-3 (1989).

## **Summary of the Invention**

The present invention relates to novel substituted tetracycline-type compounds, methods of their manufacture, therapeutic methods employing such compounds, and pharmaceutical compositions including such compounds. These compounds are useful for treatment against both tetracycline-sensitive and resistant microorganisms such as bacteria, fungi, rickettsia and the like. It has been found that compounds of the invention are highly active against both gram-positive as well as some gram-negative tetracycline-sensitive and tetracycline-resistant bacteria.

In a first embodiment, tetracycline-type compounds are provided that are substituted by other than hydroxy and hydrogen at the 5- and 9-ring positions. These compounds are generally referred to herein as 5,9-substituted tetracyclines or 5,9-substituted compounds. Suitable 5-position substituents include saturated and unsaturated aliphatic and aromatic ethers and esters. Suitable 9-position substituents include alkyl, alkenyl, and alkynyl groups; heteroalkyl, heteroalkylene, and heteroalkynyl groups; and carbocyclic aryl and heteroaromatic groups.

Preferred 5,9-substituted tetracyclines include compounds of the following Formula I:



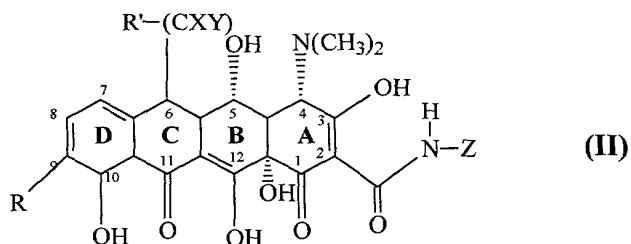
wherein R (9-position substituent) is alkyl preferably having from 1 to about 20 carbon atoms, more preferably 1 to about 12 carbon atoms; alkenyl preferably having from 2 to about 20 carbon atoms, more preferably 2 to about 12 carbon atoms; alkynyl preferably having from 2 to about 20 carbon atoms, more preferably 2 to about 12 carbon atoms; alkoxy preferably having from 1 to about 20 carbon atoms, more preferably 1 to about 12 carbon atoms; alkylthio preferably having from 1 to about 20 carbon atoms, more preferably 1 to about 12 carbon atoms; alkylsulfinyl preferably having from 1 to about 20 carbon atoms, more preferably 1 to about 12 carbon atoms; alkylsulfonyl preferably having from 1 to about 20 carbon atoms, more preferably 1 to about 12 carbon atoms; alkylamino preferably having from 1 to about 20 carbon atoms, more preferably 1 to about 12 carbon atoms; or an aryalkyl such as benzyl;

R<sup>2</sup> (5-position substituent) is alkanoyl preferably having from 1 to about 20 carbon atoms, more preferably 1 to about 12 carbon atoms; aroyl; alkaroyl; carbocyclic aryl, heteroaromatic, or a group as defined for R<sup>1</sup> above; and

Z is hydrogen, a group as defined for R<sup>1</sup> above, carbocyclic aryl, heteroalicyclic or group;

In further aspect, compounds of the invention include tetracycline-type compounds that are substituted by other than hydrogen at 9- and 13-positions, and are generally referred to herein as 9,13-substituted tetracyclines, or simply 9,13-substituted compounds. Suitable 9- and 13-position substituents include e.g. alkyl, alkenyl, alkynyl groups; heteroalkyl, heteroalkylene, and heteroalkynyl groups; and carbocyclic aryl and heteroaromatic groups. Additional suitable 13-position substituents include halogen, hydroxyl, cyano, sulfhydryl and amino. Generally preferred 9- and 13-position substituents include alkyl.

Preferred 9,13-substituted tetracyclines include compounds of the following Formula II:



wherein R (9-position substituent) is the same as defined above in Formula I;

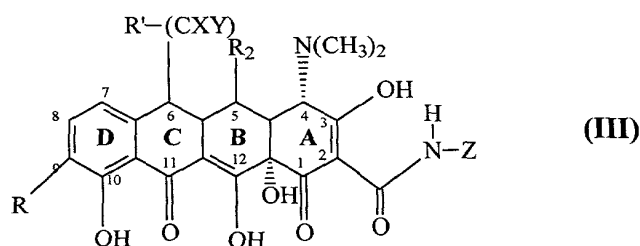
5  $R^1$  hydrogen, hydroxy or a group as defined for R in Formula I above;

X and Y are each independently hydrogen; halogen; hydroxyl; cyano, sulfhydryl; amino; or a group as defined for R in Formula I above; ( $R^1$ , X and Y together constituting 13-position substituent);

10 Z is the same as defined in Formula I above; and pharmaceutically acceptable salts thereof.

In a yet further aspect, compounds of the invention include tetracycline-type compounds that are substituted by other than hydroxy at the 5-position and other than hydrogen at the 5- and 9-positions. These compounds are generally referred to herein as 5,9,13-substituted tetracyclines, or simply 5,9,13-substituted compounds. Suitable 5-  
15 position substituents include saturated and unsaturated aliphatic and aromatic ethers and esters. Suitable 9- and 13-position substituents include those as specified above for the 9,13-substituted compounds, with alkyl being generally preferred.

Preferred 5,9,13-substituted tetracyclines include compounds of the following Formula III:



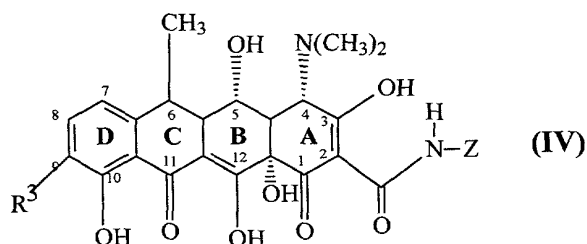
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wherein R,  $R^1$ ,  $R^2$ , X, Y and A are each the same as defined in Formulae I and II above; and pharmaceutically acceptable salts thereof (R being the 9-position substituent;  $R^1$ , X and Y together being the 13-position; and  $R^2$  being the 5-position substituent).



The invention also provides tetracycline-type compounds that are substituted by other than hydrogen at the 9-position. These compounds are generally referred to herein as 9-substituted tetracyclines, or simply 9-substituted compounds. Preferred 9-position substituents include alkyl preferably having 1 to 20 carbons, more preferably 1 to about 12 carbons, and such alkyl groups that are substituted by halo, oxygen, alkylthio, alkylsulfinyl or alkylsulfonyl.

Preferred 9-substituted tetracyclines include compounds of the following Formula II:



wherein R<sup>3</sup> is alkyl preferably having 1 to about 20 carbon atoms, more preferably 1 to about 12 carbon atoms; alkenyl preferably having from 2 to about 20 carbon atoms, more preferably 2 to about 12 carbon atoms; alkynyl preferably having from 2 to about 20 carbon atoms, more preferably 2 to about 12 carbon atoms; alkoxy preferably having from 1 to about 20 carbon atoms, more preferably 1 to about 12 carbon atoms; alkylthio preferably having from 1 to about 20 carbon atoms, more preferably 1 to about 12 carbon atoms; alkylsulfinyl preferably having from 1 to about 20 carbon atoms, more preferably 1 to about 12 carbon atoms; alkylsulfonyl preferably having from 1 to about 20 carbon atoms, more preferably 1 to about 12 carbon atoms; or an alkaryl such as benzyl; Z is the same as defined in Formula I above; and pharmaceutically acceptable salts thereof.

Compounds of the invention are active against susceptible microorganisms, including tetracycline-sensitive bacteria as well as tetracycline-resistant bacteria. Particularly preferred compounds of the invention exhibit 24-hour minimum inhibitory concentration (MIC) values of about 10 µg/ml or less, more preferably about 1 µg/ml or less, against tetracycline-resistant *E. coli*, *S. aureus* and *E. faecalis* strains such as *E. coli* D31m4(pHCM1), *S. aureus* RN4250 and *E. faecalis* pMV158. Preferred compounds of the invention also include those that exhibit such MIC values against tetracycline-sensitive *E. coli*, *S. aureus* and *E. faecalis* strains such as *E. coli* D31m4, *S. aureus* RN450 and *E. faecalis* ATCC9790.

The invention thus provides methods of treatment against susceptible microorganisms such as bacteria, fungi, rickettsia, parasites and the like, and diseases associated with such microorganisms. These therapeutic methods in general comprise administration of a therapeutically effective amount of one or more compounds of the invention to a living subject that is suffering from or susceptible to infection by a susceptible microorganism such as bacteria, fungi, rickettsia and the like. Suitable subjects for treatment include animals, particularly a mammal such as human, or plants.

In an aspect, therapeutic methods and compositions are provided for therapeutically treating a tetracycline-resistant cell as well as altering a cell from a tetracycline-resistant state to a tetracycline-sensitive state. In one preferred embodiment, these methods comprise the following steps: 1) administering to the cell a blocking agent that is a compound of invention and capable of interacting (e.g. binding) to a product of at least one tetracycline-resistance determinant capable of protecting ribosomes in the cell from tetracycline's inhibitory activity; and 2) concomitantly administering to the cell a pre-determined quantity of a tetracycline compound that is different than the blocking agent used in step 1. The cell then preferentially reacts with the blocking agent.

In another aspect, compounds of the invention are provided for use in the treatment of infection by a susceptible microorganism such as bacteria, fungi, rickettsia and the like. In yet another aspect, compounds of the invention are provided in the manufacture of a medicament for the treatment of infection by a susceptible microorganism such as bacteria, fungi, rickettsia and the like.

The invention further provides pharmaceutical compositions that comprise one or more compounds of the invention and a suitable carrier. Other aspects of the invention are disclosed *infra*.

25

### **Detailed Description of the Invention**

The present invention will be more fully illustrated by reference to the definitions set forth below.

"Tetracycline" is intended to include tetracycline and other tetracycline family members such as chlortetracycline, oxytetracycline, demeclocycline, methacycline, doxycycline, minocycline, etc. as well as other tetracycline compounds having the characteristic fused ring structure noted above in the Background Of The Invention.

The term "aliphatic group" is intended to include organic compounds characterized by straight or branched chains, typically having between 1 and 22 carbon atoms. Aliphatic groups include alkyl groups, alkenyl groups and alkynyl groups. In

complex structures, the chains can be branched or cross-linked. Alkyl groups include saturated hydrocarbons having one or more carbon atoms, including straight-chain alkyl groups and branched-chain alkyl groups. Such hydrocarbon moieties may be substituted on one or more carbons with, for example, a halogen, a hydroxyl, a thiol, an amino, an alkoxy, an alkylcarboxy, an alkylthio, or a nitro group. Unless the number of carbons is otherwise specified, "lower aliphatic" as used herein means an aliphatic group, as defined above (e.g., lower alkyl, lower alkenyl, lower alkynyl), but having from one to six carbon atoms. Representative of such lower aliphatic groups, e.g., lower alkyl groups, are methyl, ethyl, n-propyl, isopropyl, 2-chloropropyl, n-butyl, sec-butyl, 2-aminobutyl, isobutyl, tert-butyl, 3-thiopentyl, and the like. As used herein, the term "amino" means -NH<sub>2</sub>; the term "nitro" means -NO<sub>2</sub>; the term "halogen" designates -F, -Cl, -Br or -I; the term "thiol" means SH; and the term "hydroxyl" means -OH. Thus, the term "alkylamino" as used herein means an alkyl group, as defined above, having an amino group, preferably 1 to about 3 or 4, attached thereto. Suitable alkylamino groups include groups having 1 to about 12 carbon atoms, preferably from 1 to about 6 carbon atoms. The term "alkylthio" refers to an alkyl group, as defined above, having a sulfhydryl group, preferably 1 to about 5 or 6, attached thereto. Suitable alkylthio groups include groups having 1 to about 12 carbon atoms, preferably from 1 to about 6 carbon atoms. The term "alkylcarboxyl" as used herein means an alkyl group, as defined above, having a carboxyl group attached thereto. The term "alkoxy" as used herein means an alkyl group, as defined above, having an oxygen atom, preferably 1 to 5, attached thereto. Representative alkoxy groups include groups having 1 to about 12 carbon atoms, preferably 1 to about 6 carbon atoms, e.g., methoxy, ethoxy, propoxy, tert-butoxy and the like. The terms "alkenyl" and "alkynyl" refer to unsaturated aliphatic groups analogous to alkyls, but which contain at least one double or triple bond respectively. Suitable alkenyl and alkynyl groups desirably have 1 to about 3 or 4 double or triple bonds and include groups having 2 to about 12 carbon atoms, preferably from 1 to about 6 carbon atoms.

The term "alicyclic group" is intended to include closed ring structures of three or more carbon atoms. Alicyclic groups include cycloparaffins or naphthenes which are saturated cyclic hydrocarbons, cycloolefins which are unsaturated with two or more double bonds, and cycloacetylenes which have a triple bond. They do not include aromatic groups. Examples of cycloparaffins include cyclopropane, cyclohexane, and cyclopentane. Examples of cycloolefins include cyclopentadiene and cyclooctatetraene. Alicyclic groups also include fused ring structures and substituted alicyclic groups such as alkyl substituted alicyclic groups. In the instance of the alicyclics such substituents

can further comprise a lower alkyl, a lower alkenyl, a lower alkoxy, a lower alkylthio, a lower alkylamino, a lower alkylcarboxyl, a nitro, a hydroxyl, -CF<sub>3</sub>, -CN, or the like.

The term "heterocyclic group" is intended to include closed ring structures in which one or more of the atoms in the ring is an element other than carbon, for example, nitrogen, sulfur, or oxygen. Heterocyclic groups can be saturated or unsaturated and heterocyclic groups such as pyrrole and furan can have aromatic character. They include fused ring structures such as quinoline and isoquinoline. Other examples of heterocyclic groups include pyridine and purine. Heterocyclic groups can also be substituted at one or more constituent atoms with, for example, a halogen, a lower alkyl, a lower alkenyl, a lower alkoxy, a lower alkylthio, a lower alkylamino, a lower alkylcarboxyl, a nitro, a hydroxyl, -CF<sub>3</sub>, -CN, or the like. Suitable heteroaromatic and heteroalicyclic groups generally will have 1 to 3 separate or fused rings with 3 to about 8 members per ring and one or more N, O or S atoms, e.g. coumarinyl, quinolinyl, pyridyl, pyrazinyl, pyrimidyl, furyl, pyrrolyl, thienyl, thiazolyl, oxazolyl, imidazolyl, indolyl, benzofuranyl, benzothiazolyl, tetrahydrofuranyl, tetrahydropyranyl, piperidinyl, morpholino and pyrrolidinyl.

The term "aromatic group" is intended to include unsaturated cyclic hydrocarbons containing one or more rings. Aromatic groups include 5- and 6-membered single-ring groups which may include from zero to four heteroatoms, for example, benzene, pyrrole, furan, thiophene, imidazole, oxazole, thiazole, triazole, pyrazole, pyridine, pyrazine, pyridazine and pyrimidine, and the like. The aromatic ring may be substituted at one or more ring positions with, for example, a halogen, a lower alkyl, a lower alkenyl, a lower alkoxy, a lower alkylthio, a lower alkylamino, a lower alkylcarboxyl, a nitro, a hydroxyl, -CF<sub>3</sub>, -CN, or the like.

The term "alkyl" refers to the saturated aliphatic groups, including straight-chain alkyl groups, branched-chain alkyl groups, cycloalkyl (alicyclic) groups, alkyl substituted cycloalkyl groups, and cycloalkyl substituted alkyl groups. In preferred embodiments, a straight chain or branched chain alkyl has 20 or fewer carbon atoms in its backbone (e.g., C<sub>1</sub>-C<sub>20</sub> for straight chain, C<sub>3</sub>-C<sub>20</sub> for branched chain), and more preferably 12 or fewer. Likewise, preferred cycloalkyls have from 4-10 carbon atoms in their ring structure, and more preferably have 4-7 carbon atoms in the ring structure. The term "lower alkyl" refers to alkyl groups having from 1 to 6 carbons in the chain, and to cycloalkyls having from 3 to 6 carbons in the ring structure.

Moreover, the term "alkyl" (including "lower alkyl") as used throughout the specification and claims is intended to include both "unsubstituted alkyls" and

"substituted alkyls", the latter of which refers to alkyl moieties having substituents replacing a hydrogen on one or more carbons of the hydrocarbon backbone. Such substituents can include, for example, halogen, hydroxyl, alkylcarbonyloxy, arylcarbonyloxy, alkoxycarbonyloxy, aryloxy, carboxylate, alkylcarbonyl, 5 alkoxycarbonyl, aminocarbonyl, alkylthiocarbonyl, alkoxyl, phosphate, phosphonato, phosphinato, cyano, amino (including alkyl amino, dialkylamino, arylamino, diarylamino, and alkylarylamino), acylamino (including alkylcarbonylamino, arylcarbonylamino, carbamoyl and ureido), amidino, imino, sulfhydryl, alkylthio, arylthio, thiocarboxylate, sulfate, sulfonato, sulfamoyl, sulfonamido, nitro, 10 trifluoromethyl, cyano, azido, heterocyclyl, aralkyl, or an aromatic or heteroaromatic moiety. It will be understood by those skilled in the art that the moieties substituted on the hydrocarbon chain can themselves be substituted, if appropriate. Cycloalkyls can be further substituted, e.g., with the substituents described above. An "aralkyl" moiety is an alkyl substituted with an aryl, e.g., having 1 to 3 separate or fused rings and from 6 to 15 about 18 carbon ring atoms, (e.g., phenylmethyl (benzyl)).

The term "alkoxy", as used herein, refers to a moiety having the structure -O-alkyl, in which the alkyl moiety is described above.

The term "aralkoxy", as used herein, refers to a moiety having the structure -O-aralkyl, in which the aralkyl moiety is described above. Suitable aralkoxy groups have 1 20 to 3 separate or fused rings and from 6 to about 18 carbon ring atoms, with O-benzyl being a preferred group.

The term "aryl" as used herein includes 5- and 6-membered single-ring aromatic groups that may include from zero to four heteroatoms, for example, unsubstituted or substituted benzene, pyrrole, furan, thiophene, imidazole, oxazole, thiazole, triazole, 25 pyrazole, pyridine, pyrazine, pyridazine and pyrimidine, and the like. Aryl groups also include polycyclic fused aromatic groups such as naphthyl, quinolyl, indolyl, and the like. The aromatic ring can be substituted at one or more ring positions with such substituents, e.g., as described above for alkyl groups. Preferred aryl groups include unsubstituted and substituted phenyl groups.

30 The term "aryloxy", as used herein, refers to a group having the structure -O-aryl, in which the aryl moiety is as defined above.

The term "amino," as used herein, refers to an unsubstituted or substituted moiety of the formula -NR<sub>a</sub>R<sub>b</sub>, in which R<sub>a</sub> and R<sub>b</sub> are each independently hydrogen, alkyl, aryl, or heterocyclyl, or R<sub>a</sub> and R<sub>b</sub>, taken together with the nitrogen atom to which they 35 are attached, form a cyclic moiety having from 3 to 8 atoms in the ring. Thus, the term

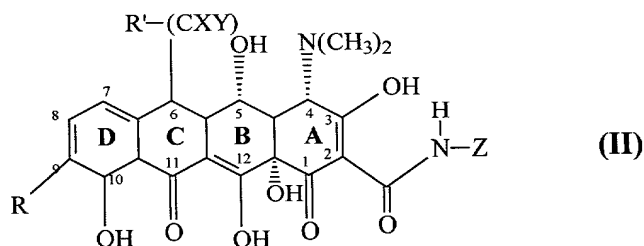
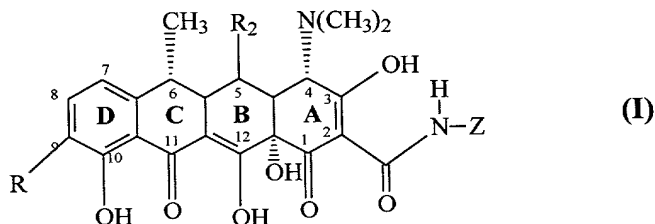
"amino" is intended to include cyclic amino moieties such as piperidinyl or pyrrolidinyl groups, unless otherwise stated. An "amino-substituted amino group" refers to an amino group in which at least one of  $R_a$  and  $R_b$ , is further substituted with an amino group.

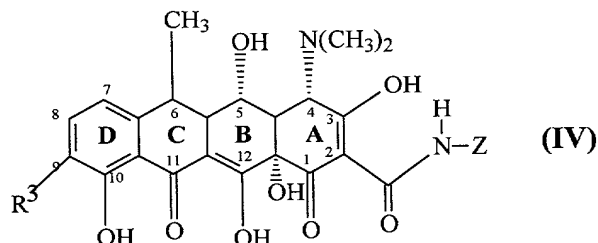
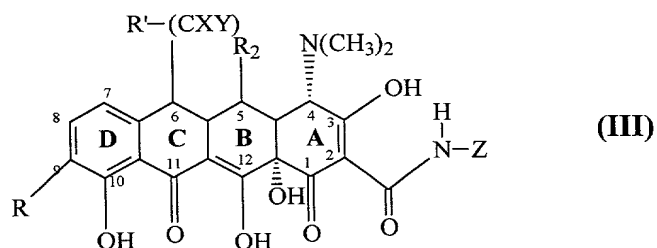
- 5 Alkylsulfinyl groups have one or more sulfinyl (SO) linkages, typically 1 to about 5 or 6 sulfinyl linkages. Suitable alkylsulfinyl groups include groups having 1 to about 12 carbon atoms, preferably from 1 to about 6 carbon atoms.

- Alkylsulfonyl groups have one or more sulfonyl (SO<sub>2</sub>) linkages, typically 1 to about 5 or 6 sulfonyl linkages. Suitable alkylsulfonyl groups include groups having 1 to  
10 about 12 carbon atoms, preferably from 1 to about 6 carbon atoms

- Suitable alkanoyl groups include groups having 1 to about 4 or 5 carbonyl groups. Suitable aroyl groups include groups having one or more carbonyl groups as a substituent to an aryl group such as phenyl or other carbocyclic aryl. Suitable alkaroyl  
15 phenylacetyl and the like. Suitable carbocyclic aryl groups have 6 or more carbons such as phenyl, naphthyl and the like. Suitable aryloyl groups are carbocyclic aryl groups that are substituted with one or more carbonyl groups, typically 1 or 2 carbonyl groups.

- Compounds of the invention can be used to treat against microorganisms, particularly gram-positive as well as some gram-negative bacteria. Preferred compounds  
20 include those of Formula I, II, III and IV:





wherein R, R<sup>1</sup>, R<sup>2</sup>, X, Y, R<sup>3</sup> and A are as defined above; and pharmaceutically acceptable salts of those compounds.

- 5 Compounds of the invention include 5-propionate-9-t-butyl doxycycline; 9-chloro-t-butyl-5-propionate doxycycline; 9-piperidinoethyl-5-propionate doxycycline; 9-t-butyl-6-alpha-deoxy-5-oxy- tetracycline; 9-t-butyl-5-oxytetracycline; 9-t-butyl-6-alpha-deoxy-5-formyloxy-tetracycline; 9-t-butyl-6-alpha-deoxy-5-acetoxy-tetracycline; 9-t-butyl-6-alpha-deoxy-5-propionyloxy-tetracycline; 9-t-butyl-6-alpha-deoxy-5-
- 10 phenylcarbonyloxy-tetracycline; 9-t-butyl-6-alpha-deoxy-5-benzylcarbonyloxy-tetracycline; 9-t-butyl-6-alpha-deoxy-5-dimethylaminocarbonyloxy-tetracycline; 9-t-butyl-6-alpha-deoxy-5-cyclopentylcarbonyloxy-tetracycline; 9-t-butyl-6-alpha-deoxy-5-cyclobutylcarbonyloxy-tetracycline; 9-t-butyl-6-alpha-deoxy-5-cyclohexylcarbonyloxy-tetracycline; 9-t-butyl-6-alpha-deoxy-5-cycloheptylcarbonyloxy-tetracycline; 9-(chloro-
- 15 t-butyl)-6-alpha-deoxy-5-oxy-tetracycline; 9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-oxy-tetracycline; 9-(amino)-t-butyl-6-alpha-deoxy-5-oxy-tetracycline; 9-[(piperidino)-t-butyl]-6-alpha-deoxy-5-oxy-tetracycline; 9-[(diethylamino)-t-butyl]-6-alpha-deoxy-5-oxy-tetracycline; 9-[(dipropylamino)-t-butyl]-6-alpha-deoxy-5-oxy-tetracycline; 9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-formyloxy-tetracycline; 9-[(dimethylamino)-
- 20 t-butyl]-6-alpha-deoxy-5-acetoxy-tetracycline; 9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-propionylcarbonyloxy-tetracycline; 9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-phenylcarbonyloxy-tetracycline; 9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-benzylcarbonyloxy-tetracycline; 9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-dimethylaminocarbonyloxy-tetracycline; 9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-
- 25 cyclopentylcarbonyloxy-tetracycline; 9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-cyclobutylcarbonyloxy-tetracycline; 9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-

- cyclohexylcarbonyloxy-tetracycline; 9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-cycloheptylcarbonyloxy-tetracycline; 9-t-butyl tetracycline; 9-t-butyl anhydrotetracycline; 9-t-butyl minocycline; 5-propionate-13-cyclopentylthio-9-t-butyl oxytetracycline; 5-propionate-13-cyclopentylthio-9-piperidinoethyl oxytetracycline; 13-cyclopentylthio-9-t-butyl-5-oxy-tetracycline; 13-methylthio-9-t-butyl-5-oxy-tetracycline; 13-ethylthio-9-t-butyl-5-oxy-tetracycline; 13-propylthio-9-t-butyl-5-oxy-tetracycline; 13-isopropylthio-9-t-butyl-5-oxy-tetracycline; 13-butylthio-9-t-butyl-5-oxy-tetracycline; 13-isobutylthio-9-t-butyl-5-oxy-tetracycline; 13-pentylthio-9-t-butyl-5-oxy-tetracycline; 13-isopentylthio-9-t-butyl-5-oxy-tetracycline; 13-cyclobutylthio-9-t-butyl-5-oxy-tetracycline; 13-cyclopentylthio-9-t-butyl-5-oxytetracycline; 13-cyclohexylthio-9-t-butyl-5-oxy-tetracycline; 13-phenylthio-9-t-butyl-5-oxy-tetracycline; 13-(3,4-dichlorophenyl)thio-9-t-butyl-5-oxy-tetracycline; 13-benzylthio-9-t-butyl-5-oxy-tetracycline; 13-(4-chlorobenzyl)thio-9-t-butyl-5-oxy-tetracycline; 13-(3,4-dichlorobenzyl)thio-9-t-butyl-5-oxy-tetracycline; 13-(4-methoxybenzyl)thio-9-t-butyl-5-oxy-tetracycline; 13-(2,3-dihydroxypropyl)thio-9-t-butyl-5-oxy-tetracycline; 13-cyclopentylthio-9-t-butyl-5-formyloxy-tetracycline; 13-methylthio-9-t-butyl-5-acetoxy-tetracycline; 13-ethylthio-9-t-butyl-5-propionylcarbonyloxy-tetracycline; 13-propylthio-9-t-butyl-5-butanylcarbonyloxy-tetracycline; 13-isopropylthio-9-t-butyl-5-cyclopentylcarbonyloxy-tetracycline; 13-butylthio-9-t-butyl-5-cyclohexylcarbonyloxy-tetracycline; 13-isobutylthio-9-t-butyl-5-cycloheptylcarbonyloxy-tetracycline; 13-pentylthio-9-t-butyl-5-formyloxy-tetracycline; 13-isopentylthio-9-t-butyl-5-acetoxy-tetracycline; 13-cyclobutylthio-9-t-butyl-5-propionylcarbonyloxy-tetracycline; 13-cyclopentylthio-9-t-butyl-5-cyclopentanylcabonyloxy-tetracycline; 13-cyclohexylthio-9-t-butyl-5-cyclohexylcarbonyloxy-tetracycline; 13-phenylthio-9-t-butyl-5-phenylacetylcarbonyloxy-tetracycline; 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-formyloxy-tetracycline; 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-acetoxy-tetracycline; 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-propionylcarbonyloxy-tetracycline; 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-phenylcarbonyloxy-tetracycline; 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-benzylcarbonyloxy-tetracycline; 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-dimethylamino-carbonyloxy-tetracycline; 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-cyclopentyl-carbonyloxy- tetracycline; 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-cyclobutyl-carbonyloxy-tetracycline; 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-cyclohexyl-carbonyloxy-

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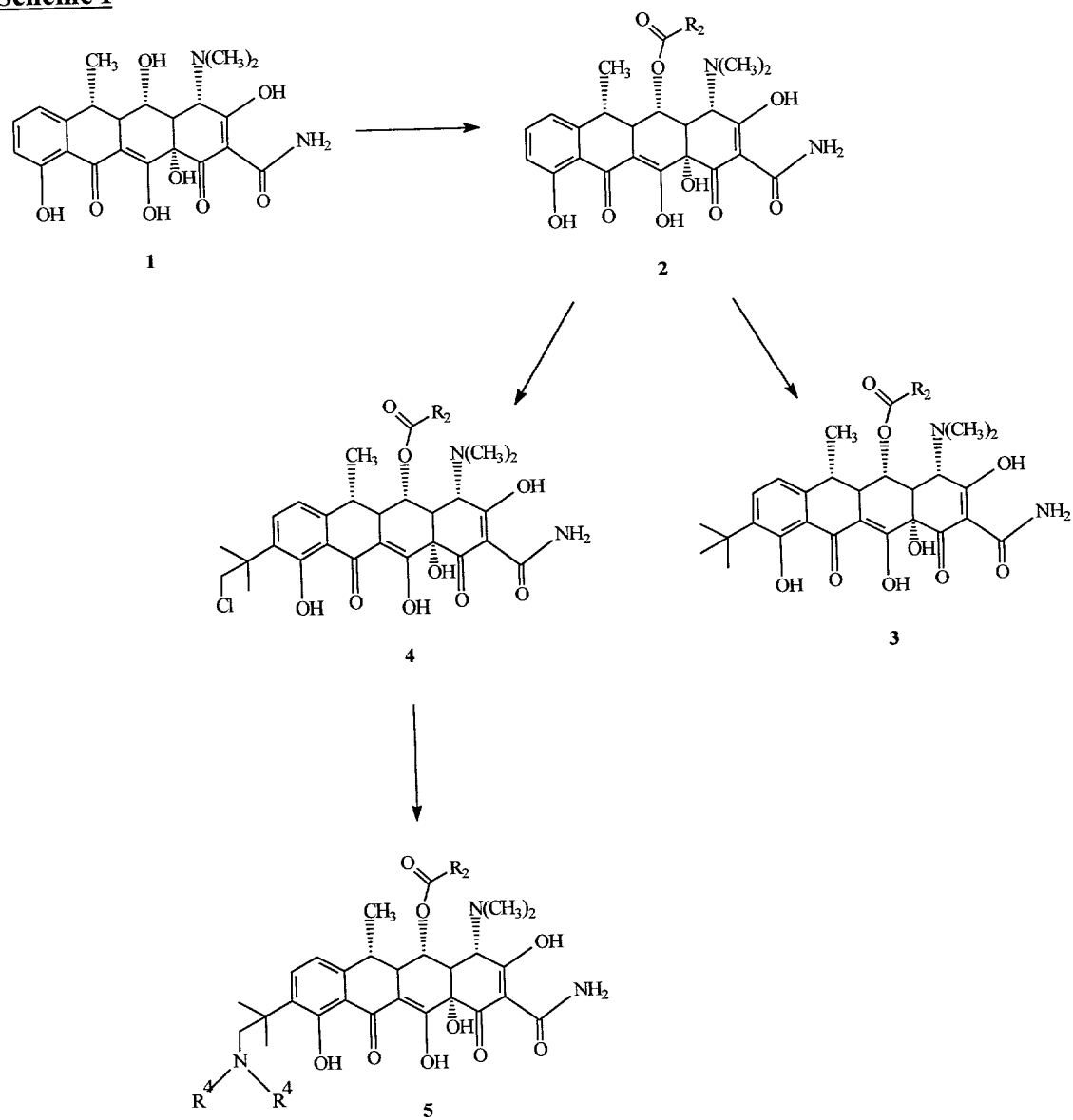


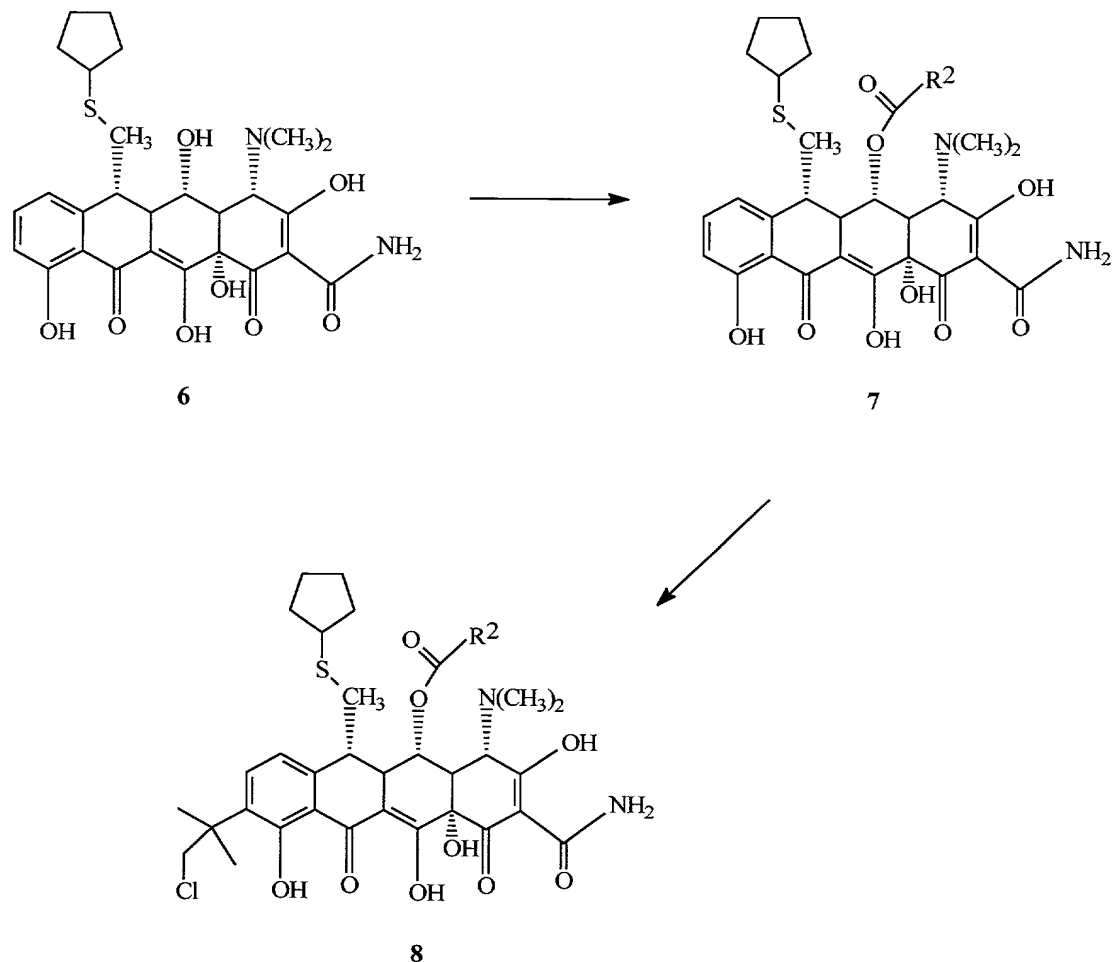
tetracycline; 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-cycloheptyl-carbonyloxy-tetracycline; and pharmaceutically acceptable salts thereof.

Particularly preferred compounds of the invention include 5-propionate-9-t-butyl doxycycline; 9-t-butyl-6-deoxy-5-hydroxytetracycline, 9-t-butyl-6-deoxy-5-  
5 propionylcarbonyloxytetracycline, 9-t-butyl-6-deoxy-5-acetylcarbonyloxytetracycline, 9-t-butyl-6-deoxy-5-cyclobutylcarbonyloxytetracycline, 9-[1'-(1'-methyl)cyclohexyl]-6-deoxy-5-hydroxytetracycline, 9-[1'-(1'-methyl)cyclopentyl]-6-deoxy-5-hydroxytetracycline, 9-[1'-(1'-methyl)cyclobutyl]-6-deoxy-5-hydroxytetracycline, 9-[2'-(2'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline, 9-[4'-(1'-bromo-4'-methyl)pentyl]-  
10 6-deoxy-5-hydroxytetracycline, 9-[4'-(1'-dimethylamino-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline, 9-[4'-(1'-pyrrolidinyl-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline, 9-[4'-(1'-cyano-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline, 9-[4'-(1'-nitro-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline, 9-[4'-(1'-acetoxy-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline); 9-t-butyl tetracycline; 9-t-butyl  
15 anhydrotetracycline; 9-t-butyl minocycline; and pharmaceutically acceptable salts thereof.

Compounds of the invention can be prepared as generally depicted in the following Schemes I and II. In the discussions of the Schemes, the various substituent groups are the same as defined above for Formulas I and II. Also, for purposes of  
20 exemplification only, doxycycline is depicted as the "base" tetracycline compound, although it will be understood that a wide variety of tetracycline compounds can be employed in the same manner. For example, the base tetracycline compound substituted at the 5- and/or 9-positions suitably may be oxytetracycline; chlortetracycline; demeclocycline; doxycycline; chelocardin; minocycline; rolitetracycline; lymecycline;  
25 sancycline; methacycline; apicycline; clomocycline; guamecycline; meglucycline; mepylcycline; penimepicycline; pipacycline; etamocycline; penimocycline and the like.

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**Scheme I**

**Scheme II**

As shown in Scheme I above, the tetracycline base compound 1 (i.e. depicted as doxycycline or alpha-6-deoxy-5-oxytetracycline) is suitably first substituted at the 5-position such as by functionalization of the depicted 5-hydroxy group to form a 5-position ester by reacting compound 1 with a compound  $R^2CO_2H$  in the presence of acid, such as anhydrous HF, trifluoromethanesulfonic acid and methanesulfonic acid at temperatures suitably ranging between 20° and 100°C. See the examples which follow for exemplary reactions. See also U.S. Patent 5,589,470 for a discussion of preparation of C5 esters. 5-position ethers can be suitably formed by reaction of compound 1 with an alkylating agent such as an alkyl halide, or other reactive agent.

The 5-substituted tetracycline compound 2 then can be reacted with a cation-forming species such as t-butanol or 1-chloro-2-methyl propene in a strong acid such as methanesulfonic acid suitably at temperatures of 20° to 100°C, in a Friedel-Crafts-type reaction to provide 5,9-substituted compounds of the invention such as compounds 3 and

4 depicted in Scheme I and compound 8 in Scheme II. Compounds 4 and 8 can be further functionalized at the 9-position by reaction with appropriate nucleophilic reagent such as compounds of the formula  $X-(R^4)_1$  or 2 where X is heteroatom such as N, O or S and each  $R^4$  is independently e.g.  $C_{1-12}$ alkyl, aryl particularly carbocyclic aryl such as phenyl, etc.

As discussed above, the invention provides methods of treatment against microorganism infections and associated diseases, which methods in general will comprise administration of a therapeutically effective amount of one or more compounds of the invention to a subject, which may be an animal or plant, and typically is a mammal, preferably a primate such as a human.

As further discussed above, the invention also provides methodology to overcome resistance of the ever-increasing varieties of cells and microorganisms to known tetracyclines. This methodology in general comprises the steps of 1) administering to the cell a blocking agent that is a compound of invention and capable of interacting (e.g., binding) to a product of at least one tetracycline resistance determinant capable of protecting ribosomes in the cell from tetracycline's inhibitory activity; and 2) concomitantly (i.e. simultaneously or sequentially) administering to the cell a pre-determined quantity of a tetracycline compound that is different than the blocking agent used in step 1. The resistance mechanism of the cell is allowed to preferentially react with the blocking agent (i.e. the compound of the invention) to avoid preferential reaction with the second administered composition (i.e. the tetracycline compound that is different than the blocking agent).

This methodology takes into account and acts upon the existence of specific DNA sequences, which are typically found on plasmids and transposons, and which specify proteins for tetracycline-resistance determinants. Some of these determinants act via an active efflux system which maintains an intracellular tetracycline concentration below those levels able to inhibit protein within the microorganism such as described in U.S. Patents 4,806,529 and 5,589,470. Other determinants act by protecting the ribosome from tetracycline's inhibitory activity, e.g. by binding with tetracycline. The methodology utilizes a compound of the invention as a blocking agent to interact with a product of at least one tetracycline resistance determinant which acts by protecting the cell from tetracycline's inhibitory activity. The determinant is capable of making a product, such as a cytoplasmic protein, which interacts with the ribosomes to make them tetracycline resistant or a membrane protein which keeps tetracycline out of a cell.

This methodology is particularly suitable for use with tetracycline-resistant cells or organisms which contain or carry a product of the genetic determinants responsible for tetracycline resistance, and in particular, those which are due to protection of the ribosome from the inhibitory activity of tetracycline. As disclosed in Levy, S.B.,  
5 *Journal of Antimicrobial Chemotherapy*, 24:1-3 (1989), more than a dozen different distinguishable tetracycline resistance determinants have been uncovered. See also Levy, S.B., "Resistance to the Tetracyclines," in *Antimicrobial Drug Resistance*, (Bryan, L.E., editor), Academic Press, Orlando, Florida, 1984, pages 191-204; and Levy, S.B.,  
10 *ASM News*, 54:418-421 (1988). As these genetic determinants of these tetracycline-resistant cells have been elucidated, it has become generally accepted that the same or very similar genes are responsible for resistance in a large number of different aerobic and anaerobic microorganisms.

This methodology of invention is therefore believed suitable for use with at least, but not exclusively, the following genera: Gram-negative genera, in particular  
15 *Enterobacteriaceae*, which harbor Class A-E tetracycline resistance determinants; Gram-positive genera including streptococci, Staphylococci, and bacillus species which bear the Class K and L tetracycline resistance determinants; aerobic and anaerobic microorganisms bearing the Class M, O or Q determinants represented by *Streptococcus agalactiae*, *Bacteroides*, *Enterococcus*, *Gardnerella* and *Neisseria* species, Mycoplasma  
20 and Ureaplasma, and *Clostridium*; *Clostridium perfringens* bearing the Class P tetracycline-resistant determinant.

Examples of products of a tetracycline resistance determinant are Tet M, Tet O and Tet Q proteins for cytoplasmic protein products and Tet A, Tet B, Tet K and Tet L for membrane products.

25 It will be recognized and appreciated that the above listed organisms are themselves only representative and illustrative of the range, variety, and diversity of cell types, bacterial species, fungi, parasites, and rickettsial disease agents which may be therapeutically treated using the methods of the invention. No specific class, genus, species, or family of cell, microorganism, or parasite is excluded from treatment by the  
30 methods of the invention. Indeed, it is expected that with future investigations into the determinants responsible for tetracycline resistance, ever greater numbers of different cells will be recognized as suitable for efficacious treatment using the present invention. In addition, in view of the recent use of tetracyclines for treatment of neoplasms, it is deemed that the present methodology would be useful in such therapies (van der Bozert  
35 et al., *Cancer Res.*, 48:6686-6690 (1988)).

As discussed above, in this methodology, two different compositions are administered concurrently, sequentially or simultaneously to a tetracycline-resistant cell. Moreover, this methodology requires and relies upon a preferential binding and reaction with the administered blocking agent *in situ*; and consequently demonstrates a substantial lack of attraction or preference for the other administered tetracycline compound. The operation, utility, and efficacy of the present methodology is thus based upon an empirically demonstrable preference of the tetracycline-resistant cell for one class of composition over another when both classes of composition are introduced concomitantly, i.e. concurrently, sequentially or simultaneously to the resistant cell.

10 The second tetracycline compound that is administered with the blocking agent may be any "tetracycline-type" compound currently known which includes tetracycline itself; or any member of the tetracycline family that is distinct from the administered blocking agent. Suitable compounds are disclosed e.g. in U.S. 5,589,470 to Levy, including compounds of Formula III as set forth at columns 8-9 of that patent, and more  
15 specifically suitable compounds include tetracycline, oxytetracycline; chlortetracycline; demeclocycline; doxycycline; chelocardin; minocycline; rolitetracycline; lymecycline; sancycline, methacycline; apicycline; chlomocycline; guamecycline; meglucycline; mepycycline; penimepicycline; pipacycline; etamocycline; and penimocycline. Other suitable agents are described within *Essentials of Medicinal Chemistry*, John Wiley and  
20 Sons, Inc., 1976, pages 512-517.

One or more compounds of the invention may be administered alone to a subject, or more typically a compound of the invention will be administered as part of a pharmaceutical composition in mixture with conventional excipient, i.e., pharmaceutically acceptable organic or inorganic carrier substances suitable for  
25 parenteral, oral or other desired administration and which do not deleteriously react with the active compounds and are not deleterious to the recipient thereof. Suitable pharmaceutically acceptable carriers include but are not limited to water, salt solutions, alcohol, vegetable oils, polyethylene glycols, gelatin, lactose, amylose, magnesium stearate, talc, silicic acid, viscous paraffin, perfume oil, fatty acid monoglycerides and  
30 diglycerides, petroethral fatty acid esters, hydroxymethylcellulose, polyvinylpyrrolidone, etc. The pharmaceutical preparations can be sterilized and if desired mixed with auxiliary agents, e.g., lubricants, preservatives, stabilizers, wetting agents, emulsifiers, salts for influencing osmotic pressure, buffers, colorings, flavorings and/or aromatic substances and the like which do not deleteriously react with the active compounds.

35 At least many of the compounds of the invention suitably may be administered to a subject in a protonated and water-soluble form, e.g., as a pharmaceutically acceptable

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salt of an organic or inorganic acid, e.g., hydrochloride, sulfate, hemi-sulfate, phosphate, nitrate, acetate, oxalate, citrate, maleate, mesylate, etc. Also, where an appropriate acidic group is present on a compound of the invention, a pharmaceutically acceptable salt of an organic or inorganic base can be employed such as an ammonium salt, or salt  
5 of an organic amine, or a salt of an alkali metal or alkaline earth metal such as a potassium, calcium or sodium salt.

Therapeutic compounds can be administered to a subject in accordance with the invention by any of a variety of routes. Topical (including transdermal, buccal or sublingual), and parenteral (including intraperitoneal, subcutaneous, intravenous,  
10 intradermal or intramuscular injection) are generally preferred.

For parenteral application, particularly suitable are solutions, preferably oily or aqueous solutions as well as suspensions, emulsions, or implants, including suppositories. Therapeutic compounds will be formulated in sterile form in multiple or single dose formats such as being dispersed in a fluid carrier such as sterile physiological  
15 saline or 5% saline dextrose solutions commonly used with injectables.

For enteral application, particularly suitable are tablets, dragees or capsules having talc and/or carbohydrate carrier binder or the like, the carrier preferably being lactose and/or corn starch and/or potato starch. A syrup, elixir or the like can be used wherein a sweetened vehicle is employed. Sustained release compositions can be  
20 formulated including those wherein the active component is protected with differentially degradable coatings, e.g., by microencapsulation, multiple coatings, etc.

For topical applications, the compound(s) can be suitably admixed in a pharmacologically inert topical carrier such as a gel, an ointment, a lotion or a cream. Such topical carriers include water, glycerol, alcohol, propylene glycol, fatty alcohols,  
25 triglycerides, fatty acid esters, or mineral oils. Other possible topical carriers are liquid petrolatum, isopropylpalmitate, polyethylene glycol, ethanol 95%, polyoxyethylene monolaurate 5% in water, sodium lauryl sulfate 5% in water, and the like. In addition, materials such as anti-oxidants, humectants, viscosity stabilizers and the like also may be added if desired.

30 In addition to treatment of humans, the methods of the invention also will have significant veterinary applications, e.g. for treatment of livestock such as cattle, sheep, goats, cows, swine and the like; poultry such as chickens, ducks, geese, turkeys and the like; horses; and pets such as dogs and cats.

It will be appreciated that the actual preferred amounts of active compounds used  
35 in a given therapy will vary according to the specific compound being utilized, the

particular compositions formulated, the mode of application, the particular site of administration, etc. Optimal administration rates for a given protocol of administration can be readily ascertained by those skilled in the art using conventional dosage determination tests conducted with regard to the foregoing guidelines.

5           In general, compounds of the invention for treatment can be administered to a subject in dosages used in prior tetracycline therapies. See, for example, the *Physicians' Desk Reference*. For example, a suitable effective dose of one or more compounds of the invention will be in the range of from 0.01 to 100 milligrams per kilogram of body weight of recipient per day, preferably in the range of from 0.1 to 50 milligrams per  
10 kilogram body weight of recipient per day, more preferably in the range of 1 to 20 milligrams per kilogram body weight of recipient per day. The desired dose is suitably administered once daily, or several sub-doses, e.g. 2 to 5 sub-doses, are administered at appropriate intervals through the day, or other appropriate schedule.

          With respect to the particular methods of the invention where a compound of the  
15 invention is used as a blocking agent and administered in conjunction with a distinct tetracycline compound, the general molar ratio of the blocking agent (i.e. compound of the invention) to the other tetracycline compound suitably will be from about 0.01:100, and preferably from 0.05:2.0. It is preferred that the blocking agent is administered in concentrations that are in excess of MIC levels of about 1,000  $\mu\text{g/ml}$ , and that the  
20 other or second tetracycline compound is administered in accordance with conventional practice for efficacious therapeutic treatment of infection or disease in humans or other animals. See, e.g., the *Physicians' Desk Reference*.

          It will also be understood that normal, conventionally known precautions will be taken regarding the administration of tetracyclines generally to ensure their efficacy  
25 under normal use circumstances. Especially when employed for therapeutic treatment of humans and animals *in vivo*, the practitioner should take all sensible precautions to avoid conventionally known contradictions and toxic effects. Thus, the conventionally recognized adverse reactions of gastrointestinal distress and inflammations, the renal toxicity, hypersensitivity reactions, changes in blood, and impairment of absorption  
30 through aluminum, calcium, and magnesium ions should be duly considered in the conventional manner.

          The present invention is further illustrated by the following examples. These examples are provided to aid in the understanding of the invention and are not to be construed as limitations thereof.



**Example 1***6-alpha-deoxy-5-propionylcarbonyloxy-tetracycline*

5-esters of doxycycline were prepared according to the known procedure. Thus doxycycline (500 mg, 1mmol) was dissolved in cold anhydrous HF (25 mL) at 0°C. To  
5 it was added propionic acid (4 mL, 3.97 g, 54 mmol). The resulting mixture was left overnight at room temperature. The HF was slowly evaporated under a steady nitrogen stream, and the residue dissolved in methanol. The solution was dried *in vacuo* to produce a crude yellow solid. The solid was treated with activated charcoal (2g), filtered, and subjected to C18 reverse-phase column chromatography. Isolation of  
10 fractions containing the compound were pooled, the butanol layer was washed once with brine and twice with distilled water to afford the compound as a yellow product. MS(FAB: m/z 501).

**Example 2***9-t-butyl-6-alpha-deoxy-5-oxy-tetracycline*

Doxycycline was dissolved in 1 mL of t-butanol and 2 mL methanesulfonic acid. The solution was stirred overnight at room temperature. The reaction mixture was poured into ice water (50mL), the pH brought to 5.5 with dilute NaOH, and the precipitate collected by filtration. The crude solid was extracted into CHCl<sub>3</sub>, treated  
20 with Na<sub>2</sub>SO<sub>4</sub>, filtered, and the solvent removed *in vacuo*. A yellow solid was obtained by C18 reverse-phase preparative column chromatography that was followed by extraction into butanol. The butanol layer was washed once with brine and twice with distilled water. Concentration *in vacuo* gave 9-t-butyl doxycycline as a yellow solid. The HCl salt was produced by dissolving the compound in methanol and bubbling  
25 gaseous HCl until saturated. Removal of the solvent led to production of yellow crystals. MS(FAB:m/z 501).

**Example 3***9-t-butyl-6-alpha-deoxy-5-propionylcarbonyloxy-tetracycline*

30 The product of Example 1 above (100 mg, 2 mmol) was dissolved in 1 ml of t-butanol and 2 mL methanesulfonic acid. The solution was stirred overnight at room temperature. The reaction mixture was poured into ice water (50 mL), the pH brought to 5.5 with dilute NaOH, and the precipitate collected by filtration. The solid was purified as in Example 2 above and the HCl salt produced a yellow solid. MS(FAB:m/z 557).

35

**Example 4***9-t-butyl-6-alpha-deoxy-5-cyclobutanylcarbonyloxy-tetracycline*

- A product produced similar to Example 1 (using cyclobutanoic acid as the carboxylic acid) (100 mg, 2 mmol) was dissolved in 1 ml of t-butanol and 2 mL methanesulfonic acid. The solution was stirred overnight at room temperature. The reaction mixture was poured into ice water (50mL), the pH brought to 5.5 with dilute
- 5 NaOH, and the precipitate collected by filtration. The solid was purified as in Example 2 above and the HCl salt produced a yellow solid. MS(FAB:m/z 582).

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**Example 5***9-(chloro-t-butyl)-6-alpha-deoxy-5-oxy-tetracycline*

Doxycycline (400mg, .9mmol) was dissolved in 3mL of 1-chloro-2-methyl-2-propanol or 2-methyl-1-chloropropene and 4 mL methanesulfonic acid. The solution  
5 was heated to 45°C under a nitrogen atmosphere for 30 hrs. The reaction mixture was poured into ice water (50 mL), the pH brought to 5.5 with dilute NaOH, and the precipitate collected by filtration. The crude solid was extracted into CHCl<sub>3</sub>, treated with Na<sub>2</sub>SO<sub>4</sub>, filtered, and the solvent removed *in vacuo*. A yellow solid was obtained by C18 reverse-phase preparative column chromatography that was followed by  
10 extraction into butanol. The butanol layer was washed once with brine and twice with distilled water. Concentration *in vacuo* gave 9-(chloro-t-butyl) doxycycline as a yellow solid. MS(FAB:m/z M+H 535, M+2, 537).

**Example 6**15 *9-[(piperidino)t-butyl]-6-alpha-deoxy-5-oxy-tetracycline*

Product of Example 5 above (100 mg, 0.2 mmol) was dissolved in 1 -methyl-2-pyrrolidinone and 2 equivalents of piperidine (14.8 ul), were stirred under nitrogen for 30 minutes. The solvent was removed *in vacuo* and the residue dissolved in methanol. The residue was precipitated with diethyl ether, filtered, and the solid collected. The  
20 compound was obtained as a yellow glass by C18 reverse-phase column chromatography. MS(FAB:m/z M+H 584).

**Example 7***9-[(dimethylamine)t-butyl]-6-alpha-deoxy-5-oxy-tetracycline*

25 Product of Example 5 above (100mg, 0.2 mmol) was dissolved in 1-methyl-2-pyrrolidinone and 2 equivalents of dimethylamine (14.8 ul), were stirred under nitrogen for 30 minutes. The solvent was removed *in vacuo* and the residue dissolved in methanol. The residue was precipitated with diethyl ether, filtered, and the solid collected. The compound was obtained as a yellow glass by C18 reverse-phase column  
30 chromatography. MS(FAB:m/z M+H 544).

**Example 8***9-(chloro)t-butyl-6-alpha-deoxy-5-propionylcarbonyloxy-tetracycline*

35 Product of Example 1 above (100 mg, 0.2 mmol) was dissolved in 2 mL of 2-methyl-1-chloropropene and 2 mL methanesulfonic acid. The solution was heated to 45°C under a nitrogen atmosphere for 10 hrs. The reaction mixture was poured into ice water (10mL), the pH brought to 5.5 with dilute NaOH, and the precipitate collected by

filtration. The crude solid was extracted into  $\text{CHCl}_3$ , treated with  $\text{Na}_2\text{SO}_4$ , filtered, and the solvent removed *in vacuo*. A yellow solid was obtained by C18 reverse-phase preparative column chromatography that was followed by extraction into butanol. The butanol layer was washed once with brine and twice with distilled water. Concentration *in vacuo* gave 9-(chloro)t-butyl-6-alpha-deoxy-5-propionylcarbonyloxy-tetracycline as a yellow solid.

### **Example 9**

#### *9-(piperidino)t-butyl-6-alpha-deoxy-5-propionylcarbonyloxy-tetracycline*

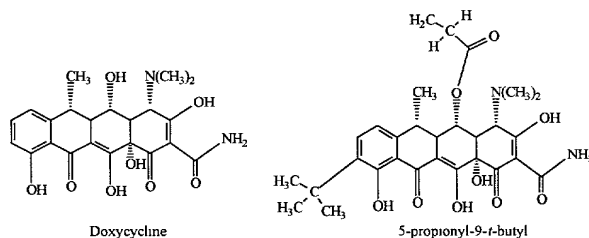
Product of Example 7 above (100 mg, 0.2 mmol) was dissolved in 1-methyl-2-pyrrolidinone and 2 equivalents of piperidine (14.8  $\mu\text{l}$ ), were stirred under nitrogen for 30 minutes. The solvent was removed *in vacuo* and the residue dissolved in methanol. The residue was precipitated with diethyl ether, filtered, and the solid collected. The compound was obtained as a yellow glass by C18 reverse-phase column chromatography.

### **Example 10**

The inhibitory effects of compounds of the invention were examined relative to doxycycline using tetracycline-sensitive and tetracycline-resistant strains of *E. coli*, *S. aureus*, and *E. faecalis* as specified in the Tables below. The general protocol for performing these experiments was as follows: cultures were grown up fresh in L broth in the morning from an overnight culture. After 4-6 hours of growth, each bacterial culture was diluted to an  $A_{530}$  of 0.2-0.5 depending on the strain (*E. coli*, 0.5; *S. aureus*, 0.4; *E. faecalis*, 0.2). Individual tubes, containing 1 ml of L broth and different concentrations of the tested compound, were inoculated with the different bacterial cultures and then incubated at  $37^\circ\text{C}$ . After 17-18 hours of incubation, the concentration of the tested compound at which no observed cloudiness was seen was called the minimal inhibitory concentration (MIC). The results obtained are set forth in Tables 2 and 3 below, with the MIC values expressed in  $\mu\text{g/ml}$  and set forth in columns beneath the tested compound.

### Table 2

## Comparison of Minimum Inhibitory Concentrations for Doxycycline and 5-propionyl-9-t-butyl Doxycycline Against Tetracycline Resistant and Sensitive Bacteria



## 5

## Tetracycline Resistant Bacteria

## Strain

E. coli	D31m4(pHCM1)*	(B)	12.5	1.56
S. aureus	RN4250	(K)	12.5	0.19
S. aureus	12715	(K)	25	0.39
E. faecalis	pMV158	(L)	12.5	≤0.09
E. faecalis	pAM211	(L)	12.5	0.19

## Tetracycline Sensitive Bacteria

## 10

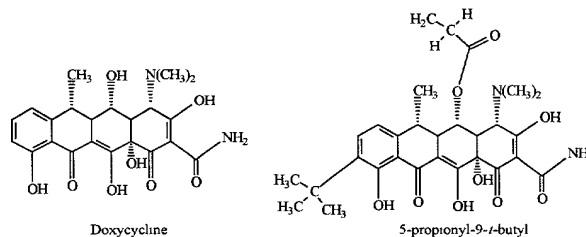
## Strain

E. coli	D31m4*	<0.78	3.12
S. aureus	RN450	<0.78	0.19
E. faecalis	ATCC9790	<0.78	0.19

\* lipopolysaccharide-deficient mutant

**Table 3**

Comparison of Minimum Inhibitory Concentrations for Doxycycline and 5-propionyl-9-*t*-butyl Doxycycline Against Tetracycline Resistant and Sensitive Bacteria



### 5 Doxycycline

#### Tetracycline Resistant Bacteria

MRSA	1	6.25	1.56 (synergy)
MRSA	3	6.25	0.78
VRE	11	12.5	0.78 (synergy)
VRE	15	12.5	0.78
			0.78

#### Tetracycline Sensitive Bacteria

MRSA	1	6.25	1.56 (synergy)
VRE	17	≤1.56	0.78
VRE	19	≤1.56	0.39

10

MRSA=methicillin resistant *S. aureus*,

VRE=vancomycin resistant *Enterococcus*

15 Further compounds in accordance with the invention were made and evaluated as follows.

### Example 11

[4*S*-(4α,12α)]-9-(*tert*-butyl)-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacene-carboxamide  
(9-*t*-butyl-6-deoxy-5-hydroxytetracycline)

20

To a room temperature solution of 0.100 g of doxycycline hydrochloride in 2 ml of methanesulfonic acid is added 1 ml of *tert* butyl alcohol. The reaction is stirred for 18 hours under ambient atmosphere. The mixture is then poured into 40 ml of ice water and

the resulting solid is extracted with chloroform and dried to afford 80 mg of the desired product as a yellow glass.

MS(FAB): m/z 501 (M+H).

<sup>1</sup>H NMR (CD<sub>3</sub>OD): δ 7.50(d, 1H, J=8.07Hz, H-8); 6.86(d, 1H, J=8.07Hz, H-7); 4.44(bs, 1H, H-4); 3.62(dd, 1H, J=11.42 ; 8.35 Hz, H-5); 2.95(bs, 6H, NMe<sub>2</sub>); 2.81(d, 1H, J=11.45Hz, H-4a); 2.71(dq, 1H, J=12.41; 6.5 Hz, H-6); 2.53(dd, 1H, J=12.23; 8.20 Hz, H-5a); 1.51(d, 3H, J=6.78 Hz, CH<sub>3</sub>); 1.41(bs, 9H, CMe<sub>3</sub>).

### Example 12

10 [4S-(4α,12α)]-9-(tert-butyl)-4-(dimethylamino)-5-propionyl-1,4,4a,5,5a,6,11,12a-octahydro-3,10,12,12a-tetrahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide (9-t-butyl-6-deoxy-5-propionylcarbonyloxytetracycline)

To a polypropylene tube containing 0.1 g of doxycycline is added 1 ml of propionic acid in excess. The solution is stirred and cooled in dry ice for 10 minutes followed by careful addition of 2 ml of anhydrous hydrofluoric acid. After 90 minutes, the acid is evaporated off to give the ester as a yellow glass. The ester is used without further purification to prepare the title compound according to the procedure in Example 11. Thus, 0.1 g of ester is dissolved in 2 ml of methanesulfonic acid and 1ml of tert butyl alcohol is added. The reaction is stirred at room temperature and under ambient atmosphere for 18 hours, then poured over ice and extracted with chloroform. The extract is dried to afford the desired product as a yellow glass.

MS(FAB): m/z 557 (M+H).

<sup>1</sup>H NMR (CD<sub>3</sub>OD): δ 7.54(d, 1H, J=8.08Hz, H-8); 6.88(d, 1H, J=8.08Hz, H-7); 5.16(dd, J=10.44; 7.94 Hz, H-5); 4.44(bs, 1H, H-4); 3.74(d, 1H, J=2.07 Hz, H-4); 3.04(bs, 6H, NMe<sub>2</sub>); 2.90(dd, 1H, J=7.94; 2.07 Hz, H-4a); 2.72(dq, 1H, J=12.31; 6.56 Hz, H-6); 2.61(dd, 1H, J=12.31; 10.44 Hz, H-5a); 2.54(q, 2H, J=7.48 Hz, CH<sub>2</sub>-C); 1.44(bs, 9H, CMe<sub>3</sub>); 1.29(d, 3H, J=6.56 Hz, CH<sub>3</sub>); 1.20(t, 3H, J=7.48 Hz, C-CH<sub>3</sub>).

### Example 13

30 [4S-(4α,12α)]-9-(tert-butyl)-4-(dimethylamino)-5-acetylcarbonyloxy-1,4,4a,5,5a,6,11,12a-octahydro-3,10,12,12a-tetrahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide (9-t-butyl-6-deoxy-5-acetylcarbonyloxytetracycline)

To a polypropylene tube containing 0.2 g of doxycycline is added 2 ml of glacial acetic acid in excess. The solution is stirred and cooled in dry ice for 10 hours followed by careful addition of 5 ml of anhydrous hydrofluoric acid. After 24 minutes, the acid is

evaporated off under a slow, steady stream of nitrogen to give the 5-ester as a yellow glass. The ester is used without further purification to prepare the title compound according to the procedure in Example 11. Thus, 0.1 g of ester is dissolved in 2 ml of methanesulfonic acid and 1 ml of tert butyl alcohol is added. The reaction is stirred at room temperature and under ambient atmosphere for 18 hours, then poured over ice and extracted with chloroform. The extract is dried and the residue subjected to preparative HPLC to afford the desired product as a yellow glass.

MS(FAB): m/z 543 (M+H).

<sup>1</sup>H NMR (CD<sub>3</sub>OD): d 7.55(d, 1H, J=8.08 Hz, H-8); 6.86(d, 1H, J=8.08 Hz, H-7); 5.13(dd, J=10.44; 7.94 Hz, H-5); 4.41(bs, 1H, H-4); 3.72(d, 1H, J=2.07 Hz, H-4); 3.04(bs, 6H, NCH<sub>3</sub>); 2.90(dd, 1H, J=7.94; 2.07 Hz, H-4a); 2.70(dq, 1H, J=12.31; 6.56 Hz, H-6); 2.61(dd, 1H, J=12.31; 10.44 Hz, H-5a); 2.2(m, 6H, J=7.48 Hz, Acetyl); 1.44(bs, 9H, C(CH<sub>3</sub>)<sub>3</sub>); 1.29(d, 3H, J=6.56 Hz, CH<sub>3</sub>); 1.20(t, 3H, J=7.48 Hz, C-CH<sub>3</sub>).

#### 15 **Example 14**

*[4S-(4α,12α)]-9-(tert-butyl)-4-(dimethylamino)-5-cyclobutylcarbonyloxy-1,4,4a,5,5a,6,11,12a-octahydro-3,10,12,12a-tetrahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide (9-t-butyl-6-deoxy-5-cyclobutylcarbonyloxytetracycline)*

To a polypropylene tube containing 0.1 g of doxycycline is added 2 ml of cyclobutanecarboxylic acid in excess. The solution is stirred and cooled in dry ice for 10 minutes followed by careful addition of 15 ml of anhydrous hydrofluoric acid. After 24 hours, the acid is evaporated off under a slow, steady stream of nitrogen to give the 5-ester as a yellow glass. The ester is used without further purification to prepare the title compound according to the procedure in Example 11. Thus, 0.1 g of ester is dissolved in 2 ml of methanesulfonic acid and 1 ml of tert butyl alcohol is added. The reaction is stirred at room temperature and under ambient atmosphere for 18 hours, then poured over ice and extracted with chloroform. The extract is dried and the residue subjected to preparative HPLC to afford the desired product as a yellow glass.

MS(FAB): m/z 583 (M+H).

<sup>1</sup>H NMR (CD<sub>3</sub>OD): d 7.43 (d, 1H, J=8.08 Hz, H-8); 6.84(d, 1H, J=8.08 Hz, H-7); 5.09(dd, J=10.44; 7.94 Hz, H-5); 4.39(bs, 1H, H-4); 3.80(d, 1H, J=2.07 Hz, H-4); 2.98 (bs, 6H, NCH<sub>3</sub>); 2.91(dd, 1H, J=7.94; 2.07 Hz, H-4a); 2.70(dq, 1H, J=12.31; 6.56 Hz, H-6); 2.60(dd, 1H, J=12.31; 10.44 Hz, H-5a); 2.6-2.7(m, 6H, J=7.48 Hz, CH<sub>2</sub>-C); 1.44(bs, 9H, C(CH<sub>3</sub>)<sub>3</sub>); 1.29(d, 3H, J=6.56 Hz, CH<sub>3</sub>); 1.20(t, 3H, J=7.48 Hz, C-CH<sub>3</sub>).



**Example 15**

General procedure for preparation of 9-alkyl substituted doxycycline derivatives: To a solution of 0.1 g of doxycycline hydrochloride in 1 ml of methanesulfonic acid and 10 drops of hexametaphosphoric acid (HMPA) was added excess corresponding tertiary alcohol. The reaction mixture was stirred over night at room temperature, then ice water was added. The mixture was titrated with dilute NaOH solution to adjust the pH of the solution to 4-5, and extracted with ethyl acetate. The organic extract was separated by preparative HPLC to afford the desired product as a yellow solid.

*[4S-(4 $\alpha$ ,12 $\alpha$ )]-9-[1'-(1'-methyl)cyclohexyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide (9-[1'-(1'-methyl)cyclohexyl]-6-deoxy-5-hydroxytetracycline)*

MS(FAB): m/z 541 (M+H).

<sup>1</sup>H NMR (CD<sub>3</sub>OD): d 7.55(d, 1H, J=8.16Hz, H-8); 6.93(d, 1H, J=8.16Hz, H-7); 4.45(bs, 1H, H-4); 3.58(dd, 1H, J=11.42; 8.35Hz, H-5); 2.99, 2.97(each s, each 3H, NMe<sub>2</sub>); 2.83(d, 1H, J=11.61Hz, H-4a); 2.75(m, 1H, H-6); 2.60(m, 1H, H-5a); 2.38, 2.06(each t, each 2H, J=8.10Hz, CH<sub>2</sub>-2' and CH<sub>2</sub>-6'); 1.55(d, 3H, J=6.51Hz, CH<sub>3</sub>-C6); 1.70-1.51(m, 6H, CH<sub>2</sub>-3', CH<sub>2</sub>-4', and CH<sub>2</sub>-5'); 1.49(s, 3H, CH<sub>3</sub>-C1').

**Example 16**

*[4S-(4 $\alpha$ ,12 $\alpha$ )]-9-[1'-(1'-methyl)cyclopentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide (9-[1'-(1'-methyl)cyclopentyl]-6-deoxy-5-hydroxytetracycline)*

MS(FAB): m/z 527 (M+H).

<sup>1</sup>H NMR (CD<sub>3</sub>OD): d 7.44(d, 1H, J=7.67Hz, H-8); 6.83(d, 1H, J=7.67Hz, H-7); 4.46(bs, 1H, H-4); 3.54(dd, 1H, J=11.42; 8.35Hz, H-5); 2.99, 2.91(each s, each 3H, NMe<sub>2</sub>); 2.80(d, 1H, J=11.31Hz, H-4a); 2.66(m, 1H, H-6); 2.56(dd, 1H, J=11.42, 8.25Hz, H-5a); 1.94(m, 4H, CH<sub>2</sub>-2' and CH<sub>2</sub>-5'); 1.74(m, 4H, CH<sub>2</sub>-3' and CH<sub>2</sub>-4'); 1.50(d, 3H, J=6.51Hz, CH<sub>3</sub>-C6); 1.29(bs, 3H, CH<sub>3</sub>-C1').

**Example 17**

*[4S-(4 $\alpha$ ,12 $\alpha$ )]-9-[1'-(1'-methyl)cyclobutyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-*

*naphthacenecarboxamide (9-[1'-(1'-methyl)cyclobutyl]-6-deoxy-5-hydroxytetracycline)*

Methylenecyclobutane was used and the reaction time was decreased to 5 hrs.

MS(FAB): m/z 513 (M+H).

- 5  $^1\text{H}$  NMR ( $\text{CD}_3\text{OD}$ ): d 7.23(d, 1H,  $J=7.71\text{Hz}$ , H-8); 6.87(d, 1H,  $J=7.71\text{Hz}$ , H-7); 4.46(bs, 1H, H-4); 3.54(dd, 1H,  $J=11.42$ ; 8.35Hz, H-5); 2.98, 2.92(each s, each 3H,  $\text{NMe}_2$ ); 2.81(d, 1H,  $J=11.13\text{Hz}$ , H-4a); 2.72(m, 1H, H-6); 2.59(dd, 1H,  $J=11.42$ , 8.25Hz, H-5a); 2.40(m, 2H,  $\text{CH}_2\text{-3'}$ ); 2.13(m, 4H,  $\text{CH}_2\text{-2'}$  and  $\text{CH}_2\text{-4'}$ ); 1.53(bs, 3H,  $\text{CH}_3\text{-C1'}$ ); 1.51(d, 3H,  $J=6.51\text{Hz}$ ,  $\text{CH}_3\text{-C6}$ ).

10

**Example 18**

*[4S-(4 $\alpha$ ,12 $\alpha$ )]-9-[2'-(2'-methyl)pentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide (9-[2'-(2'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline)*

- 15 The reaction was carried out without HMPA.

MS(FAB): m/z 529 (M+H).

- $^1\text{H}$  NMR ( $\text{CD}_3\text{OD}$ ): d 7.41(d, 1H,  $J=8.11\text{Hz}$ , H-8); 6.85(d, 1H,  $J=8.11\text{Hz}$ , H-7); 3.96(bs, 1H, H-4); 3.64(dd, 1H,  $J=11.42$ ; 8.35Hz, H-5); 2.78(bs, 6H,  $\text{NMe}_2$ ); 2.73(d, 1H,  $J=11.45\text{Hz}$ , H-4a); 2.51(m, 2H, H-6 and H-5a); 1.86(t, 2H,  $J=8.22\text{Hz}$ ,  $\text{CH}_2\text{-3'}$ ); 1.51(d, 20 3H,  $J=6.78\text{ Hz}$ ,  $\text{CH}_3\text{-C6}$ ); 1.38(m, 2H,  $\text{CH}_2\text{-4'}$ ); 1.36, 1.28(each s, each 3H,  $\text{CH}_3\text{-1'}$  and  $\text{CH}_3\text{-C2'}$ ); 0.82(t, 3H,  $J=7.17\text{Hz}$ ,  $\text{CH}_3\text{-5'}$ ).

**Example 19**

- [4S-(4 $\alpha$ ,12 $\alpha$ )]-9-[4'-(1'-bromo-4'-methyl)pentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide (9-[4'-(1'-bromo-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline)*

The reaction was carried out with and without HMPA.

MS(FAB): m/z 607 (M+H) and 609 (M+H).

- 30  $^1\text{H}$  NMR ( $\text{CD}_3\text{OD}$ ): d 7.42(d, 1H,  $J=8.00\text{Hz}$ , H-8); 6.87(d, 1H,  $J=8.00\text{Hz}$ , H-7); 3.98(bs, 1H, H-4); 3.67(dd, 1H,  $J=11.42$ ; 8.35Hz, H-5); 3.54(t, 2H,  $J=7.20\text{Hz}$ ,  $\text{CH}_2\text{-1'}$ ); 2.79(bs, 6H,  $\text{NMe}_2$ ); 2.74(d, 1H,  $J=11.45\text{Hz}$ , H-4a); 2.50(m, 2H, H-6 and H-5a); 2.03(m, 2H,  $\text{CH}_2\text{-2'}$ ); 1.51(d, 3H,  $J=6.71\text{ Hz}$ ,  $\text{CH}_3\text{-C6}$ ); 1.41(m, 2H,  $\text{CH}_2\text{-3'}$ ); 1.38(s, 6H,  $\text{CH}_3\text{-5'}$  and  $\text{CH}_3\text{-C4'}$ ).

**Example 20**

*[4S-(4 $\alpha$ ,12 $\alpha$ )]-9-[4'-(1'-dimethylamino-4'-methyl)pentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide (9-[4'-(1'-dimethylamino-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline)*

To a solution of 50 mg of the product from example 10 in 1 ml of 1-methyl-2-pyrrolidinone was added 3 equivalents of dimethylamine (2.0 M solution in methanol). After the mixture was stirred for 3 hrs at room temperature under N<sub>2</sub>, it was added dropwise to 50 ml of diethyl ether. The resulting yellow solid was collected and purified by preparative HPLC to give the desired product as a yellow solid.

MS(FAB): m/z 572 (M+H).

<sup>1</sup>H NMR (CD<sub>3</sub>OD): d 7.51(d, 1H, J=7.95Hz, H-8); 6.92(d, 1H, J=7.95Hz, H-7); 4.41(bs, 1H, H-4); 3.65(m, 1H, H-5); 3.72(t, 2H, J=6.62Hz, CH<sub>2</sub>-1'); 2.94(bs, 6H, NMe<sub>2</sub>-4); 2.78(bs, 6H, NMe<sub>2</sub>-1'); 1.53(d, 3H, J=6.71 Hz, CH<sub>3</sub>-C6); 1.38(s, 6H, CH<sub>3</sub>-5' and CH<sub>3</sub>-C4').

**Example 21**

*[4S-(4 $\alpha$ ,12 $\alpha$ )]-9-[4'-(1'-pyrrolidinyl-4'-methyl)pentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide (9-[4'-(1'-pyrrolidinyl-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline)*

To a solution of 50 mg of the product from example 10 in 1 ml of 1-methyl-2-pyrrolidinone was added 3 equivalents of pyrrolidine. After the mixture was stirred for 3hrs at room temperature under N<sub>2</sub>, it was added dropwise to 50 ml of cold diethyl ether. The resulting yellow solid was collected and purified by preparative HPLC to give the desired product as a yellow solid.

MS(FAB): m/z 598 (M+H).

**Example 22**

*[4S-(4 $\alpha$ ,12 $\alpha$ )]-9-[4'-(1'-cyano-4'-methyl)pentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide (9-[4'-(1'-cyano-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline)*

To a solution of 50 mg of the product from example 10 in 1 ml of DMSO was added 3 equivalents of sodium cyanide. After the mixture was stirred for 3 hrs at room temperature under N<sub>2</sub>, 5 ml of methanol was added and purified by preparative HPLC to give the desired product as a brown yellow solid.

5 MS(FAB): m/z 554 (M+H).

<sup>1</sup>H NMR (CD<sub>3</sub>OD): d 7.47(d, 1H, J=8.14Hz, H-8); 6.90(d, 1H, J=8.14Hz, H-7); 4.43(bs, 1H, H-4); 3.54(m, 1H, H-5); 2.98, 2.91(each s, each 3H, NMe<sub>2</sub>); 2.82(d, 1H, J=11.45Hz, H-4a); 2.69(dq, 1H, J=12.23, 6.70Hz, H-6); 2.55(dd, 1H, J=12.23, 8.20Hz, H-5a); 2.31(t, 2H, J=6.95Hz, CH<sub>2</sub>-1'); 2.05(m, 2H, CH<sub>2</sub>-2'); 1.53(d, 3H, J=6.70 Hz, CH<sub>3</sub>-C6);  
10 1.50(m, 2H, CH<sub>2</sub>-3'); 1.41(s, 6H, CH<sub>3</sub>-5' and CH<sub>3</sub>-C4').

### Example 23

*[4S-(4alpha,12a-alpha)]-9-[4'-(1'-nitro -4'-methyl)pentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide (9-[4'-(1'-nitro -4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline)*  
15

To a solution of 50 mg of the product from example 10 in 1 ml of DMSO was added 3 equivalents of sodium nitrite. After the mixture was stirred for 3 hrs at room temperature under N<sub>2</sub>, 5 ml of methanol was added and purified by preparative HPLC to  
20 give the desired product as a brown yellow solid.

MS(FAB): m/z 574 (M+H).

<sup>1</sup>H NMR (CD<sub>3</sub>OD): d 7.50(d, 1H, J=7.19Hz, H-8); 6.92(d, 1H, J=7.19Hz, H-7); 4.46(bs, 1H, H-4); 4.36(t, 2H, J=6.95Hz, CH<sub>2</sub>-1'); 3.61(m, 1H, H-5); 2.98(bs, 6H, NMe<sub>2</sub>); 2.85-2.77(m, 2H, H-4a and H-6); 2.62(m, 1H, H-5a); 2.00(m, 2H, CH<sub>2</sub>-2');  
25 1.56(d, 3H, J=6.70 Hz, CH<sub>3</sub>-C6); 1.49(m, 2H, CH<sub>2</sub>-3'); 1.43(s, 6H, CH<sub>3</sub>-5' and CH<sub>3</sub>-C4').

### Example 24

*[4S-(4alpha,12aalpha)]-9-[4'-(1'-acetoxy -4'-methyl)pentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide (9-[4'-(1'-acetoxy -4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline)*  
30

To a solution of 50 mg of the product from example 10 in 1 ml of HMPA was added 3 equivalents of sodium acetate. After the mixture was stirred for 3hrs at room

temperature under N<sub>2</sub>, 5 ml of methanol was added and purified by preparative HPLC to give the desired product as a brown yellow solid.

<sup>1</sup>H NMR (CD<sub>3</sub>OD): d 7.46(d, 1H, J=8.04 Hz, H-8); 6.89(d, 1H, J=8.04 Hz, H-7); 4.43(bs, 1H, H-4); 3.63(m, 1H, H-5); 3.45 (t, 2H, J=6.72Hz, CH<sub>2</sub>-1'); 2.98, 5 2.91(each s, each 3H, NMe<sub>2</sub>); 2.78(d, 1H, J=11.45Hz, H-4a); 2.72(dq, 1H, J=12.41, 6.79Hz, H-6); 2.58(dd, 1H, J=12.41, 8.20Hz, H-5a); 2.05(m, 2H, CH<sub>2</sub>-2'); 1.52(d, 3H, J=6.79 Hz, CH<sub>3</sub>-C6); 1.42(m, 2H, CH<sub>2</sub>-3'); 1.40(s, 6H, CH<sub>3</sub>-5' and CH<sub>3</sub>-C4').

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# BIOLOGICAL ACTIVITY

Method for *in vitro* Evaluation

## (Table 4)

- The minimum inhibitory concentration, the lowest concentration of drug that
- 5 inhibits bacterial growth at 18 hours at their appropriate temperature, is determined by the broth dilution method using L-broth or Muller-Hinton broth. The Muller-Hinton broth was cation-adjusted accordingly and all bacteriological methods were performed as was described by Waitz, J.A., National Commision for Clinical Laboratory Standards Document M7-A2, vol.10, no. 8, pp.13-20, 2<sup>nd</sup> edition, Villanova, PA (1990). The
- 10 organisms tested represent gram-positive and gram-negative bacterial species that are susceptible to tetracyclines or are resistant to tetracyclines due to the ability to efflux tetracyclines or which confer resistance by ribosomal protection mechanisms. The clinical strains used are either susceptible to tetracyclines or are resistant to them by either drug efflux or ribosomal protection.

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**Legend for Compounds**

Compound	Name
Doxycycline	[4S-(4alpha,12aalpha)]- 4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a- pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide
5	
<b>A</b>	[4S-(4alpha,12aalpha)]-9-(tert-butyl)-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide
10	<i>(9-t-butyl-6-deoxy-5-hydroxytetracycline)</i>
<b>B</b>	[4S-(4alpha,12aalpha)]-9-(tert-butyl)-4-(dimethylamino)-5-propionylcarbonyloxy-1,4,4a,5,5a,6,11,12a-octahydro-3,10,12,12a-tetrahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide
15	<i>(9-t-butyl-6-deoxy-5-propionylcarbonyloxytetracycline)</i>
<b>C</b>	[4S-(4alpha,12aalpha)]-9-(tert-butyl)-4-(dimethylamino)-5-acetylcarbonyloxy-1,4,4a,5,5a,6,11,12a-octahydro-3,10,12,12a-tetrahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide
20	<i>(9-t-butyl-6-deoxy-5-acetylcarbonyloxytetracycline)</i>
<b>D</b>	[4S-(4alpha,12aalpha)]-9-(tert-butyl)-4-(dimethylamino)-5-cyclobutylcarbonyloxy-1,4,4a,5,5a,6,11,12a-octahydro-3,10,12,12a-tetrahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide
25	<i>(9-t-butyl-6-deoxy-5-cyclobutylcarbonyloxytetracycline)</i>
<b>E</b>	[4S-(4alpha,12aalpha)]-9-[1'-(1'-methyl)cyclohexyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide
30	<i>(9-[1'-(1'-methyl)cyclohexyl]-6-deoxy-5-hydroxytetracycline)</i>
<b>F</b>	[4S-(4alpha,12aalpha)]-9-[1'-(1'-methyl)cyclopentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide
35	<i>(9-[1'-(1'-methyl)cyclopentyl]-6-deoxy-5-hydroxytetracycline)</i>

- G** [4S-(4alpha,12aalpha)]-9-[1'-(1'-methyl)cyclobutyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide  
5 *(9-[1'-(1'-methyl)cyclobutyl]-6-deoxy-5-hydroxytetracycline)*
- H** [4S-(4alpha,12aalpha)]-9-[2'-(2'-methyl)pentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide  
10 *(9-[2'-(2'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline)*
- I** [4S-(4alpha,12aalpha)]-9-[4'-(1'-bromo-4'-methyl)pentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide  
15 *(9-[4'-(1'-bromo-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline)*
- J** [4S-(4alpha,12aalpha)]-9-[4'-(1'-dimethylamino-4'-methyl)pentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide  
20 *(9-[4'-(1'-dimethylamino-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline)*
- K** [4S-(4alpha,12a-alpha)]-9-[4'-(1'-pyrrolidinyl-4'-methyl)pentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide  
25 *(9-[4'-(1'-pyrrolidinyl-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline)*
- L** [4S-(4alpha,12aalpha)]-9-[4'-(1'-cyano -4'-methyl)pentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide  
30 *(9-[4'-(1'-cyano -4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline)*
- 35



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**N**

[4S-(4alpha,12aalpha)]-9-[4'-(1'-acetoxy-4'-methyl)pentyl]-4-(dimethylamino)-1,4,4a,5,5a,6,11,12a-octahydro-3,5,10,12,12a-pentahydroxy-6-methyl-1,11-dioxo-2-naphthacenecarboxamide (9-[4'-(1'-acetoxy-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline)

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**Table 4**  
Antibacterial activity of substituted tetracyclines

	Doxycycline	A	B	C	D	E	F	G	H	I	J	K	L	M	N
<i>E. coli</i> D31m4* Tc <sup>r</sup>	0.78	3.12	6.25	3.12	50	25	0.78	6.25	50	1.56	50	50	6.25	12.5	3.12
<i>E. coli</i> D31m4(pHClM1) Tc <sup>r</sup>	12.5	3.12	6.25	3.12	50	25	1.56	6.25	1.56	1.56	50	50	6.25	1.56	3.12
<i>S. aureus</i> RN450 Tc <sup>S</sup>	0.195	0.78	0.39	0.39	0.78	1.56	0.39	1.56	0.08	0.39	50	25	1.56	3.12	1.56
<i>S. aureus</i> Tc <sup>r</sup> ATCC12715	50	3.12	1.56	0.78	3.12	6.25	0.78	3.12	0.78	0.78	50	50	6.25	1.56	1.56
<i>S. aureus</i> RN4250 Tc <sup>r</sup>	25	1.56	0.78	0.39	3.12	6.25	0.39	3.12	0.39	0.08	50	50	3.12	0.78	1.56
<i>S. aureus</i> MRSA5 Tc <sup>r</sup>	6.25	3.12	3.12	1.56	1.56	6.25	0.08	0.08	0.39	0.39	50	6.25	6.25	0.78	1.56
<i>E. faecalis</i> Tc <sup>r</sup> ATCC9790	0.39	1.56	1.56	0.78	0.78	0.39	0.78	0.78	0.78	0.39	50	25	3.12	0.78	1.56
<i>E. faecalis</i> pMV158 Tc <sup>r</sup>	6.25	1.56	0.39	0.39	50	1.56	0.08	1.56	0.78	0.08	50	25	3.12	0.78	0.78
<i>E. faecalis</i> pAM211 Tc <sup>r</sup>	12.5	3.12	0.78	0.78	1.56	6.25	0.39	3.12	0.78	0.78	50	25	3.12	0.78	1.56

Tc<sup>S</sup> = tetracycline susceptible

Tc<sup>r</sup> = tetracycline resistant

\* lipopolysaccharide-deficient mutant

[illegible]

**0607**

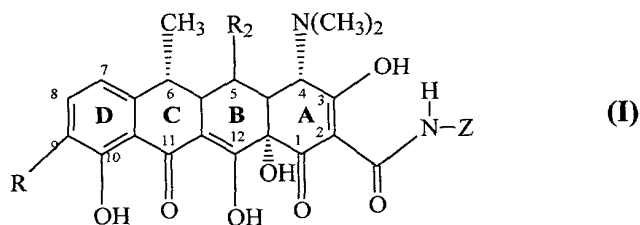
## Claims

***What is claimed is:***

1. A 5,9-substituted tetracycline.

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2. A compound of claim 1 of the following Formula I:



wherein R is alkyl; alkenyl; alkynyl; alkoxy; alkylthio; alkylsulfinyl; alkylsulfonyl; alkylamino; or an aryalkyl;

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R<sup>2</sup> is alkanoyl; aroyl; alkaroyl; carbocyclic aryl, heteroaromatic, alkyl; alkenyl; alkynyl; alkoxy; alkylthio; alkylsulfinyl; alkylsulfonyl; alkylamino; or an aryalkyl;

15

Z is hydrogen, alkyl; alkenyl; alkynyl; alkoxy; alkylthio; alkylsulfinyl; alkylsulfonyl; alkylamino; aryalkyl, carbocyclic aryl, heteroaromatic or heteroaromatic group; and pharmaceutically acceptable salts thereof.

3. A compound of claim 1 that is  
5-propionate-9-t-butyl doxycycline;  
9-chloro-t-butyl-5-propionate doxycycline;  
9-t-butyl-6-alpha-deoxy-5-oxy-tetracycline;  
5 9-t-butyl-5-oxytetracycline;  
9-t-butyl-6-alpha-deoxy-5 -formyloxy-tetracycline;  
9-t-butyl-6-alpha-deoxy-5-acetoxy-tetracycline;  
9-t-butyl-6-alpha-deoxy-5-propionyloxy-tetracycline;  
9-t-butyl-6-alpha-deoxy-5 -phenylcarbonyloxy-tetracycline;  
10 9-t-butyl-6-alpha-deoxy-5 -benzylcarbonyloxy-tetracycline;  
9-t-butyl-6-alpha-deoxy-5 -dimethylaminocarbonyloxy-tetracycline;  
9-t-butyl-6-alpha-deoxy-5-cyclopentylcarbonyloxy-tetracycline;  
9-t-butyl-6-alpha-deoxy-5-cyclobutylcarbonyloxy-tetracycline;  
9-t-butyl-6-alpha-deoxy-5 -cyclohexylcarbonyloxy-tetracycline;  
15 9-t-butyl-6-alpha-deoxy-5 -cycloheptylcarbonyloxy-tetracycline;  
9-(chloro-t-butyl)-6-alpha-deoxy-5 -oxy-tetracycline;  
9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-oxy-tetracycline;  
9-(amino)-t-butyl-6-alpha-deoxy-5-oxy-tetracycline;  
9-[(piperidino)-t-butyl]-6-alpha-deoxy-5-oxy-tetracycline;  
20 9-[(diethylamino)-t-butyl]-6-alpha-deoxy-5-oxy-tetracycline;  
9-[(dipropylamino)-t-butyl]-6-alpha-deoxy-5-oxy-tetracycline;  
9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-formyloxy-tetracycline;  
9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5 -acetoxy-tetracycline;  
9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-propionylcarbonyloxy-tetracycline;  
25 9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-phenylcarbonyloxy-tetracycline;  
9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-benzylcarbonyloxy-tetracycline;  
9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5 -dimethylaminocarbonyloxy-  
tetracycline;  
9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-cyclopentylcarbonyloxy-  
30 tetracycline;  
9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-cyclobutylcarbonyloxy-  
tetracycline;  
9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-cyclohexylcarbonyloxy-  
tetracycline; or  
35 9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-cycloheptylcarbonyloxy-  
tetracycline; and pharmaceutically acceptable salts thereof.

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4. The compound of claim 2 wherein R is alkyl having 1 to about 20 carbon atoms; alkenyl having 2 to about 20 carbon atoms; alkynyl having 2 to about 20 carbon atoms; alkoxy having 1 to about 20 carbon atoms; alkylthio having 1 to about 20 carbon atoms; alkylsulfinyl having from 1 to about 20 carbon atoms; alkylsulfonyl having from 1 to about 20 carbon atoms; alkylamino having from 1 to about 20 carbon atoms; or aryalkyl;
- 5  $R^2$  is alkyl having 1 to about 20 carbon atoms; alkenyl having 2 to about 20 carbon atoms; alkynyl 2 to about 20 carbon atoms; alkoxy 1 to about 20 carbon atoms; alkylthio having 1 to about 20 carbon atoms; alkylsulfinyl having from 1 to about 20 carbon atoms; alkylsulfonyl having from 1 to about 20 carbon atoms; alkylamino having from 1 to about 20 carbon atoms; or aryalkyl; alkanoyl from 1 to about 20 carbon atoms; aroyl; alkaroyl; carbocyclic aryl, heteroaromatic; and
- 10 Z is hydrogen, alkyl having 1 to about 20 carbon atoms; alkenyl having 2 to about 20 carbon atoms; alkynyl 2 to about 20 carbon atoms; alkoxy 1 to about 20 carbon atoms; alkylthio having 1 to about 20 carbon atoms; alkylsulfinyl having from 1 to about 20 carbon atoms; alkylsulfonyl having from 1 to about 20 carbon atoms; alkylamino having from 1 to about 20 carbon atoms; aryalkyl; carbocyclic aryl, or an heteroalicyclic group.
- 15
- 20
5. The compound of claim 2 wherein R is alkyl having 1 to about 12 carbon atoms; alkenyl having 2 to 12 about carbon atoms; alkynyl having 2 to 12 about carbon atoms; alkoxy having 1 to about 12 carbon atoms; alkylthio having 1 to about 12 carbon atoms; alkylsulfinyl having 1 to about 12 carbon atoms; alkylsulfonyl having 1 to about 12 carbon atoms; alkylamino having 1 to about 12 carbon atoms; or benzyl;
- 25  $R^2$  is alkyl having 1 to about 12 carbon atoms; alkenyl having 2 to 12 about carbon atoms; alkynyl having 2 to 12 about carbon atoms; alkoxy having 1 to about 12 carbon atoms; alkylthio having 1 to about 12 carbon atoms; alkylsulfinyl having 1 to about 12 carbon atoms; alkylsulfonyl having 1 to about 12 carbon atoms; alkylamino having 1 to about 12 carbon atoms; benzyl; aroyl; alkaroyl; carbocyclic aryl, heteroaromatic; and Z is hydrogen.
- 30
6. The compound of claim 2 wherein R and/or  $R^2$  is selected from the group consisting of t-butyl; chloro-t-butyl; (dimethylamino)-t-butyl; propionate; piperidinoethyl; formyloxy; acetoxo; propionyloxy; phenylcarbonyloxy; benzylcarbonyloxy; piperidino; amino; diethylamino; dipropylamino;
- 35

acetylcarbonyloxy; propionylcarbonyloxy; phenylcarbonyloxy;  
benzylcarbonyloxy; dimethylaminocarbonyloxy; cyclopentylcarbonyloxy;  
cyclobutylcarbonyloxy; cyclohexylcarbonyloxy; cycloheptylcarbonyloxy; and Z  
is hydrogen.

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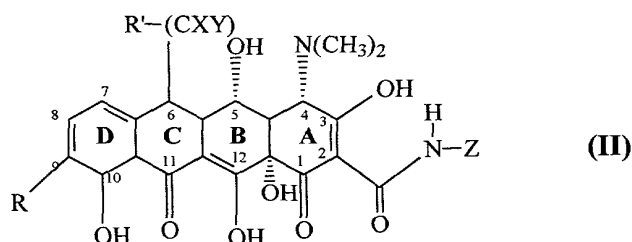
7. The compound of claim 1, wherein said compound is selected from the group  
consisting of 5-propionate-9-t-butyl doxycycline; 9-t-butyl-6-deoxy-5-  
propionylcarbonyloxytetracycline, 9-t-butyl-6-deoxy-5-  
acetylcarbonyloxytetracycline, 9-t-butyl-6-deoxy-5-  
cyclobutylcarbonyloxytetracycline, and pharmaceutically acceptable salts  
thereof.

10

8. A 9,13-substituted tetracycline compound.

15

9. A compound of claim 8 that is of the following Formula II:



wherein R is alkyl; alkenyl; alkynyl; alkoxy; alkylthio; alkylsulfinyl;  
alkylsulfonyl; alkylamino; or an aryalkyl;

- 20  $R^1$  is hydrogen, hydroxy, alkyl; alkenyl; alkynyl; alkoxy; alkylthio;  
alkylsulfinyl; alkylsulfonyl; alkylamino; or an aryalkyl;

X and Y are each independently hydrogen; halogen; hydroxyl; cyano,  
sulfhydryl; amino; alkyl; alkenyl; alkynyl; alkoxy; alkylthio; alkylsulfinyl;  
alkylsulfonyl; alkylamino; or an aryalkyl;

- 25 Z is hydrogen, alkyl; alkenyl; alkynyl; alkoxy; alkylthio; alkylsulfinyl;  
alkylsulfonyl; alkylamino; aryalkyl, carbocyclic aryl, heteroalicyclic or heteroaromatic  
group; and pharmaceutically acceptable salts thereof.

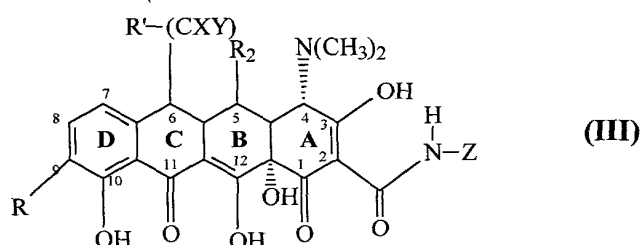
10. A compound of claim 8 that is:  
13-cyclopentylthio-9-t-butyl-5-oxy-tetracycline;  
30 13-methylthio-9-t-butyl-5-oxy-tetracycline;  
13-ethylthio-9-t-butyl-5-oxy-tetracycline;

30

- 13-propylthio-9-t-butyl-5-oxy-tetracycline;  
 13-isopropylthio-9-t-butyl-5-oxy-tetracycline;  
 13-butylthio-9-t-butyl-5-oxy-tetracycline;  
 13-isobutylthio-9-t-butyl-5-oxy-tetracycline;  
 5 13-pentylthio-9-t-butyl-5-oxy-tetracycline;  
 13-isopentylthio-9-t-butyl-5-oxy-tetracycline;  
 13-cyclobutylthio-9-t-butyl-5-oxy-tetracycline;  
 13-cyclopentylthio-9-t-butyl-5-oxy-tetracycline;  
 13-cyclohexylthio-9-t-butyl-5-oxy-tetracycline;  
 10 13-phenylthio-9-t-butyl-5-oxy-tetracycline;  
 13-(3,4-dichlorophenyl)thio-9-t-butyl-5-oxy-tetracycline;  
 13-benzylthio-9-t-butyl-5-oxy-tetracycline;  
 13-(4-chlorobenzyl)thio-9-t-butyl-5-oxy-tetracycline;  
 13-(3,4-dichlorobenzyl)thio-9-t-butyl-5-oxy-tetracycline;  
 15 13-(4-methoxybenzyl)thio-9-t-butyl-5-oxy-tetracycline;  
 13-(2,3-dihydroxypropyl)thio-9-t-butyl-5-oxy-tetracycline; and  
 5-propionate-13-cyclopentylthio-9-t-butyl oxytetracycline;  
 5-propionate-13-cyclopentylthio-9-piperidinoethyl oxytetracycline;  
 and pharmaceutically acceptable salts thereof.

11. A 5,9,13-substituted tetracycline.

12. A compound of claim 11 that is of the following Formula III:



25 wherein R is alkyl; alkenyl; alkynyl; alkoxy; alkylthio; alkylsulfinyl;  
 alkylsulfonyl; alkylamino; or an aryalkyl;

R<sup>2</sup> is alkanoyl; aroyl; alkaroyl; carbocyclic aryl, heteroaromatic, alkyl;  
 alkenyl; alkynyl; alkoxy; alkylthio; alkylsulfinyl; alkylsulfonyl; alkylamino; or  
 an aryalkyl such as benzyl;



X and Y are each independently hydrogen; halogen; hydroxyl; cyano, sulfhydryl; amino; alkyl; alkenyl; alkynyl; alkoxy; alkylthio; alkylsulfinyl; alkylsulfonyl; alkylamino; or an arylalkyl;

Z is hydrogen, alkyl; alkenyl; alkynyl; alkoxy; alkylthio; alkylsulfinyl; alkylsulfonyl; alkylamino; arylalkyl, carbocyclic aryl, heteroalicyclic or heteroaromatic group; and pharmaceutically acceptable salts thereof.

13. A compound of claim 11 that is:
  - 13-cyclopentylthio-9-t-butyl-5-formyloxy-tetracycline;
  - 13-methylthio-9-t-butyl-5-acetoxy-tetracycline;
  - 13-ethylthio-9-t-butyl-5-propionylcarbonyloxy-tetracycline;
  - 13-propylthio-9-t-butyl-5-butanylcarbonyloxy-tetracycline;
  - 13-isopropylthio-9-t-butyl-5-cyclopentylcarbonyloxy-tetracycline;
  - 13-butylthio-9-t-butyl-5-cyclohexylcarbonyloxy-tetracycline;
  - 13-isobutylthio-9-t-butyl-5-cycloheptylcarbonyloxy-tetracycline;
  - 13-pentylthio-9-t-butyl-5-formyloxy-tetracycline;
  - 13-isopentylthio-9-t-butyl-5-acetoxy-tetracycline;
  - 13-cyclobutylthio-9-t-butyl-5-propionylcarbonyloxy-tetracycline;
  - 13-cyclopentylthio-9-t-butyl-5-cyclopentanylcarbonyloxy-tetracycline;
  - 13-cyclohexylthio-9-t-butyl-5-cyclohexylcarbonyloxy-tetracycline;
  - 13-phenylthio-9-t-butyl-5-phenylacetylcarbonyloxy-tetracycline;
  - 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-formyloxy-tetracycline;
  - 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-acetoxy-tetracycline;
  - 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-propionylcarbonyloxy-tetracycline;
  - 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-phenylcarbonyloxy-tetracycline;
  - 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-benzylcarbonyloxy-tetracycline;
  - 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-dimethylamino carbonyloxy-tetracycline;
  - 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-cyclopentyl carbonyloxy-tetracycline;
  - 13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-cyclobutyl carbonyloxy-tetracycline;

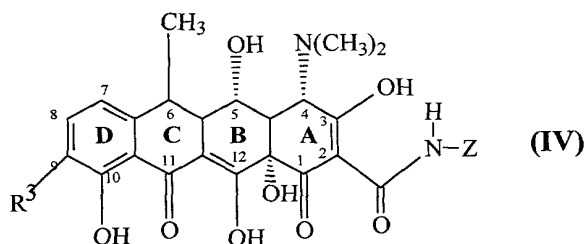
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13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-S-cyclohexyl carbonyloxy-tetracycline; or

13-cyclopentylthio-9-[(dimethylamino)-t-butyl]-6-alpha-deoxy-5-cycloheptyl carbonyloxy-tetracycline; and pharmaceutically acceptable salts thereof.

5

14. A compound of the following Formula IV:



wherein  $R^3$  is alkyl; alkenyl; alkynyl; alkoxy; alkylthio; alkylsulfinyl; alkylsulfonyl; alkylamino; or an aryalkyl;

- 10 Z is hydrogen, alkyl; alkenyl; alkynyl; alkoxy; alkylthio; alkylsulfinyl; alkylsulfonyl; alkylamino; aryalkyl, carbocyclic aryl, heteroalicyclic or heteroaromatic group; and pharmaceutically acceptable salts thereof.

- 15 15. A compound of claim 14 which is  
9-t-butyl tetracycline;  
9-t-butyl anhydrotetracycline;  
9-t-butyl minocycline; and pharmaceutically acceptable salts thereof.

- 20 16. The compound of claim 14 wherein  $R^3$  is alkyl having 1 to about 20 carbon atoms; alkenyl having 2 to about 20 carbon atoms; alkynyl having 2 to about 20 carbon atoms; alkoxy having 1 to about 20 carbon atoms; alkylthio having 1 to about 20 carbon atoms; alkylsulfinyl having from 1 to about 20 carbon atoms; alkylsulfonyl having from 1 to about 20 carbon atoms; alkylamino having from 1 to about 20 carbon atoms; or aryalkyl; and  
25 Z is hydrogen, alkyl having 1 to about 20 carbon atoms; alkenyl having 2 to about 20 carbon atoms; alkynyl 2 to about 20 carbon atoms; alkoxy 1 to about 20 carbon atoms; alkylthio having 1 to about 20 carbon atoms; alkylsulfinyl having from 1 to about 20 carbon atoms; alkylsulfonyl having from 1 to about 20 carbon atoms; alkylamino having from 1 to about 20 carbon atoms; aryalkyl;  
30 carbocyclic aryl, or an heteroalicyclic group.

17. The compound of claim 14 wherein R<sup>3</sup> is alkyl having 1 to about 12 carbon atoms; alkenyl having 2 to 12 about carbon atoms; alkynyl having 2 to 12 about carbon atoms; alkoxy having 1 to about 12 carbon atoms; alkylthio having 1 to about 12 carbon atoms; alkylsulfinyl having 1 to about 12 carbon atoms; alkylsulfonyl having 1 to about 12 carbon atoms; alkylamino having 1 to about 12 carbon atoms; or benzyl; and Z is hydrogen.
18. The compound of claim 14 wherein R<sup>3</sup> is selected from the group consisting of t-butyl; chloro-t-butyl; (dimethylamino)-t-butyl; methylcyclohexyl; methylcyclobutyl; methylpentyl; bromomethylpentyl; nitromethylpentyl; and acetoxymethylpentyl.
19. The compound of claim 14, wherein said compound is selected from the group consisting of 9-t-butyl-6-deoxy-5-hydroxytetracycline, 9-[1'-(1'-methyl)cyclohexyl]-6-deoxy-5-hydroxytetracycline, 9-[1'-(1'-methyl)cyclopentyl]-6-deoxy-5-hydroxytetracycline, 9-[1'-(1'-methyl)cyclobutyl]-6-deoxy-5-hydroxytetracycline, 9-[2'-(2'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline, 9-[4'-(1'-bromo-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline, 9-[4'-(1'-dimethylamino-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline, 9-[4'-(1'-pyrrolidinyl-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline, 9-[4'-(1'-cyano-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline, 9-[4'-(1'-nitro-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline, 9-[4'-(1'-acetoxymethyl-4'-methyl)pentyl]-6-deoxy-5-hydroxytetracycline); 9-t-butyl tetracycline; 9-t-butyl anhydrotetracycline; 9-t-butyl minocycline; and pharmaceutically acceptable salts thereof.
20. A method for treating against a targeted microorganism comprising administering to the microorganism a compound of any one of claims 1 through 19.
21. A method for treating against bacteria comprising administering to the bacteria a compound of any one of claims 1 through 19.
22. A method for treating a mammal suffering from or susceptible to a microorganism infection or disease associated therewith comprising administering to the mammal a compound of any one of claims 1 through 19.

23. A method for treating a mammal suffering from or susceptible to bacteria infection comprising administering to the mammal a compound of any one of claims 1 through 19.
- 5 24. The method of claim 22 or 23 wherein the mammal is a human.
25. The method of any one of claims 20-22 wherein the microorganism or bacteria is tetracycline sensitive.
- 10 26. The method of any one of claims 20-22 wherein the microorganism or bacteria is tetracycline resistant.
27. The method of any one of claims 20-26 wherein the bacteria is *E. coli*, *S. aureus* or *E. faecalis*.
- 15 28. A method for converting tetracycline resistant bacteria into tetracycline resistant bacteria, comprising
  - a) contacting the resistant bacteria with a predetermined quantity of a compound of any one of claims 1 through 11, and
  - 20 b) concomitantly administering to the bacteria a predetermined quantity of a tetracycline-type compound that is different than the compound of step a).
29. A pharmaceutical composition of any one of claims 1 through 19.

**PHARMACEUTICALLY ACTIVE COMPOUNDS AND METHODS OF USE  
THEREOF**

**ABSTRACT OF THE DISCLOSURE**

- 5           The invention includes new substituted tetracycline-type compounds that exhibit significant antibacterial activity, including against both gram-positive and gram-negative bacteria. It has been found that compounds of the invention are highly active against both gram positive and gram negative tetracycline sensitive and tetracycline resistant bacteria.

- 10       G:\DATA\PIPKZ\013PC2\APPLNUS.DOC

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Attorney's  
Docket  
Number PKZ-013CP

Declaration, Petition and Power of Attorney  
for Continuation-in-Part Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PHARMACEUTICALLY ACTIVE COMPOUNDS AND METHODS OF USE THEREOF  
the specification of which

(check one)

X is attached hereto.

   was filed on \_\_\_\_\_ as

Application Serial No. \_\_\_\_\_

and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

This application in part discloses and claims subject matter disclosed in my earlier filed application(s), as follows:

X Serial No. 60/072,262, filed January 23, 1998; as to which I claim priority benefit under Title 35, United States Code, §119(e).

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56, including all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of the continuation-in-part application.

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662370 4134250

# AS TO PARENT APPLICATION:

As to the subject matter of this application which is common to said earlier application, I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to said earlier application, or in public use or on sale in the United States of America more than one year prior to said earlier application; that the common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of said earlier application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to said earlier application; and

As to applications for patents or inventor's certificate or PCT international application(s) designating at least one country other than the United States of America, on the common subject matter, filed in or designating any country foreign to the United States of America, prior to said earlier application by me or my legal representatives or assigns,

Check one:

☒ no such applications have been filed.

☐ such applications have been filed as follows

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO SAID EARLIER U.S. APPLICATION

Country	Application Number	Date of Filing (month,day,year)	Priority Claimed Under 35 USC 119
			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

ALL FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO SAID EARLIER U.S. APPLICATION


09234847 012259

AS TO THIS APPLICATION:

As to the subject matter of this application which is not common to said earlier application, I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application; that said non-common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application; and

As to applications for patents or inventor's certificate or PCT international application(s) designating at least one country other than the United States of America, on said non-common subject matter, filed in or designating any country foreign to the United States of America, prior to this application by me or my legal representatives or assigns,

Check one:

☐ no such applications have been filed.

☒ such applications have been filed as follows

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Country	Application Number	Date of Filing (month,day,year)	Priority Claimed Under 35 USC 119
			<input type="checkbox"/> Yes      No <input checked="" type="checkbox"/>
			<input type="checkbox"/> Yes      No <input type="checkbox"/>
			<input type="checkbox"/> Yes      No <input type="checkbox"/>
			<input type="checkbox"/> Yes      No <input type="checkbox"/>
			<input type="checkbox"/> Yes      No <input type="checkbox"/>

ALL FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION


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CLAIM FOR BENEFIT OF U.S. PROVISIONAL APPLICATION(S)

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

60/072,262  
(Application Serial No.)

January 23, 1998  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

CLAIM FOR BENEFIT OF U.S. PATENT APPLICATION(S)

I hereby claim the benefit under 35 U.S.C. §120 of any United States patent application(s) listed below.

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

W. Hugo Liepmann	Reg. No. 20,407	Lawrence E. Monks	Reg. No. 34,224
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Send Correspondence to Elizabeth A. Hanley, Esq. at **Customer Number 000959** whose address is:

Lahive & Cockfield, LLP, 28 State Street, Boston, MA 02109

Direct Telephone Calls to: (name and telephone number)

Nicholas P. Triano, III, (617) 227-7400

Wherefore I petition that letters patent be granted to me for the invention or discovery described and claimed in the attached specification and claims, and hereby subscribe my name to said specification and claims and to the foregoing declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor	
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Inventor's signature	Date
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Residence 735 Worcester Road, Wellesley, Massachusetts	
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Post Office Address (if different)	